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# Correlated Electron Systems

## 3) Screening and electron-phonon coupling in Metals

Literature

N. W. Ashcroft, D. N. Mermin, *Solid State Physics*

S. J. Blundell, *Magnetism in Condensed Matter*



# Screening in metals

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Test charge will cause electrons to redistribute

-> screen potential of test charge

- Different from dielectrics which only change the magnitude of the potential

Screening over finite length scale

- Electrons cannot localize on top of test charge (this would be too high a kinetic energy because of Heisenberg uncertainty principle)



# Free electron gas

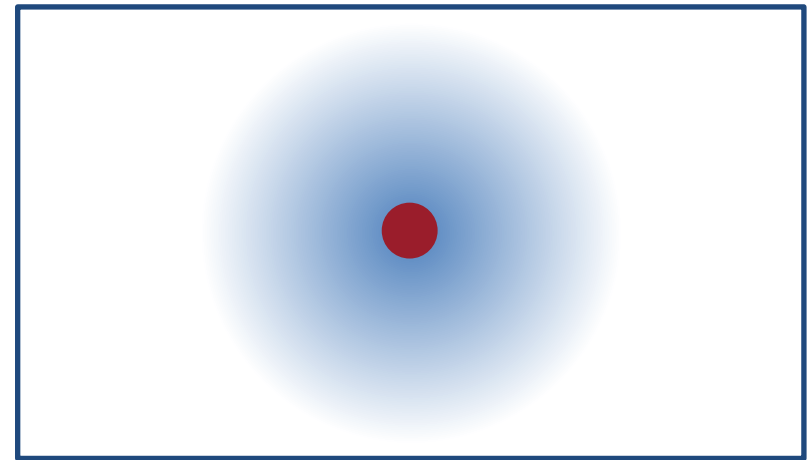
$$\nabla^2 V_0(\mathbf{r}) = -\frac{\rho_0(\mathbf{r})}{\epsilon(\mathbf{r})}$$

Free electron gas „Jellium“

$$\rho_0 = 0$$

Test charge  $\delta\rho(r)$

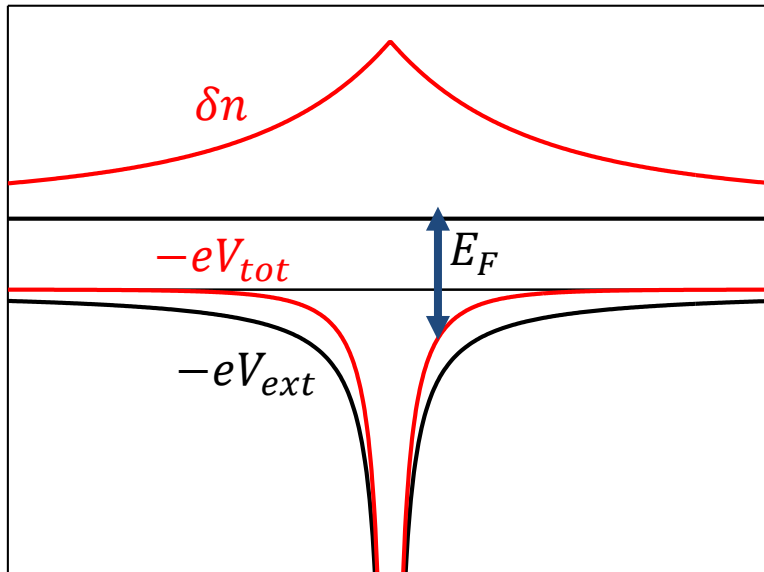
$$\nabla^2 \delta V(r) = \delta\rho(r)$$





# Thomas Fermi - static

## Local shift of Fermi energy



Yukawa potential

$$V(r) = \frac{Q}{r} e^{-q_{TF} r}$$

## Slowly varying potential

- Local shift of levels  

$$E(\mathbf{k}, \mathbf{r}) = E_0(\mathbf{k}) - eV_{tot}(\mathbf{r})$$
- Local change in density  

$$\delta n = e g(E_F)(\delta V + V_{ext})$$
- Poisson's equation  

$$\nabla^2 \delta V = e/\epsilon_0 \delta n$$
- Solved in q-space (FT)

$$V_{tot} = V_{ext} \frac{q^2}{q^2 + q_{TF}^2}$$

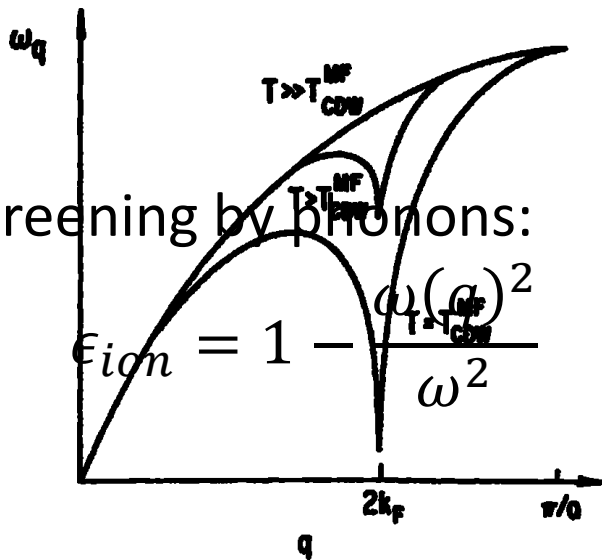
$$q_{TF} = \frac{1}{\pi^2} \frac{me^2}{\epsilon_0 \hbar^2} k_F \approx \frac{2.95 \text{ \AA}^{-1}}{\sqrt{r_s a_0}}$$



# Electron-Phonon Interaction

- Phonons as plasma oscillations  $\Omega_p$  screened by electrons

$$\omega(q)^2 = \frac{\Omega_p^2}{\epsilon_{el}(q)} = \frac{\Omega_p^2}{1 + q_{TF}^2/q^2}$$



- screening by phonons:

Screening by (slow) ions which are screened themselves

$$V_{tot} = \frac{1}{\epsilon_{ion}} \left( \frac{1}{\epsilon_{el}} V_{ext} \right)$$

Combined dielectric

$$\frac{1}{\epsilon} = \frac{1}{\epsilon_{ion}} \frac{1}{\epsilon_{el}} = \left( \frac{1}{\omega^2 - \omega(q)^2} \right) \left( \frac{q^2}{q^2 + q_{TF}^2} \right) =$$

$$\frac{q^2}{q^2 + q_{TF}^2} \left( 1 - \frac{\omega(q)^2}{\omega^2 - \omega(q)^2} \right)$$



# Electron-Phonon Interaction

- Electron-electron interaction

$$v_{kk'} = \frac{4\pi e^2}{q^2 \epsilon} = \frac{4\pi e^2}{q^2 + q_{TF}^2} \left( 1 - \frac{\omega(q)^2}{\omega^2 - \omega(q)^2} \right)$$

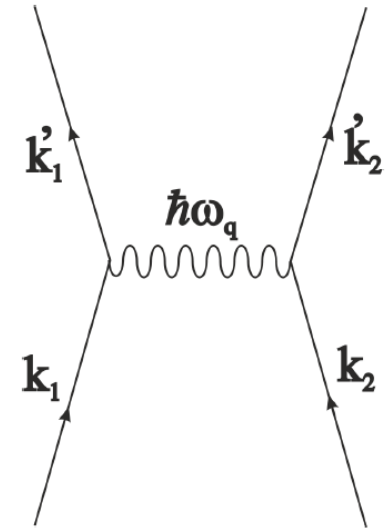
$$q = k - k' \quad \omega = \epsilon_k - \epsilon_{k'}$$

- Change of electronic energy

$$\Delta \epsilon_k = \int \frac{d\mathbf{k}'}{(2\pi)^3} v_{kk'}$$

- Phonon coupling constant

$$\lambda = \int \frac{dS'}{8\pi^3 v(k')} \frac{4\pi e^2}{(k - k')^2 + q_{TF}^2}$$





# Electron-Phonon Interaction

- Fermi energy not affected
- Changes  $\hbar\omega_D$  around  $\epsilon_F$
- $\epsilon_k - \epsilon_F = \frac{\epsilon_k^{TF} - \epsilon_F}{1+\lambda}$
- Corrections vanish outside  $\hbar\omega_D$

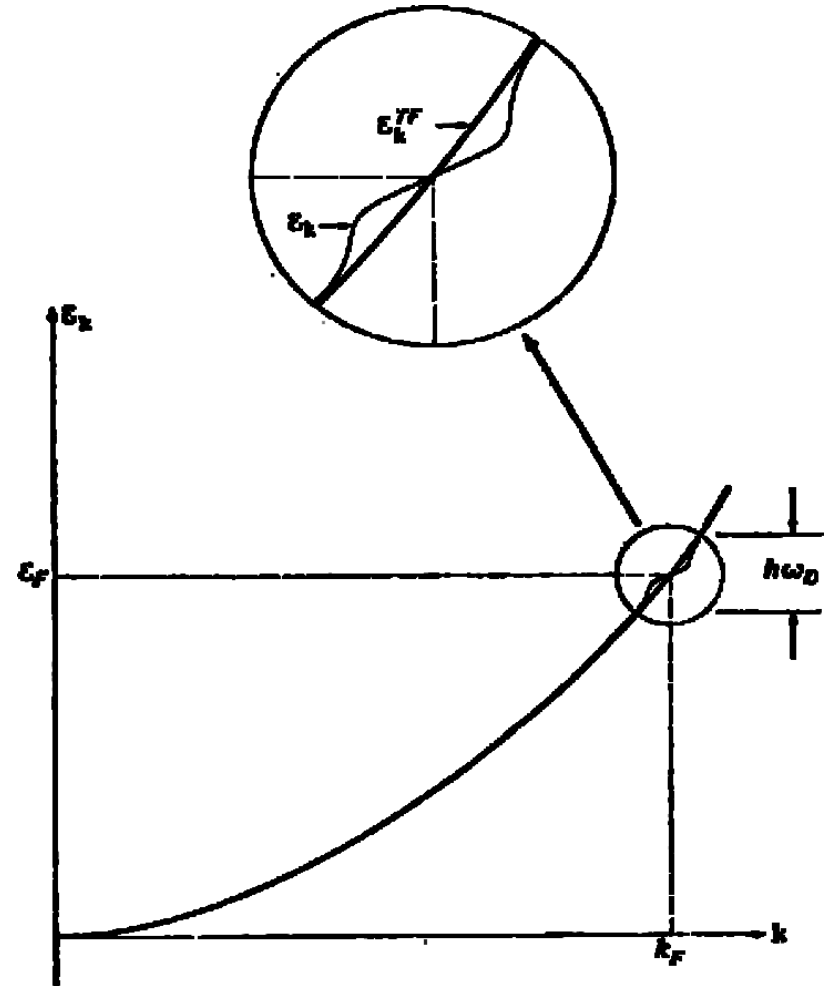
$$\epsilon_k - \epsilon_F = (\epsilon_{TF} - \epsilon_F) * \left[ 1 + O\left(\frac{\hbar\omega_D}{\epsilon_k - \epsilon_F}\right) \right]^2$$

Reduced velocity

$$v(k) = \frac{1}{\hbar} \frac{d\epsilon}{dk} = \frac{1}{1+\lambda} v^0(k)$$

Increased DoS

$$g(E_F) = (1 + \lambda)g^0(E_F)$$





# Electron-Phonon Interaction

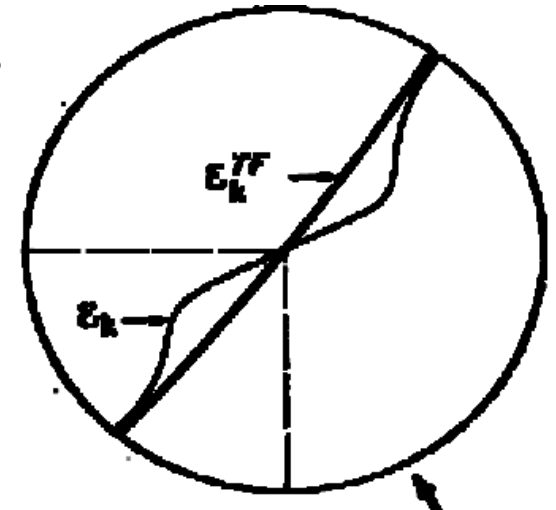
- Dominates deviations from nearly free electron results in most non-magnetic metals

$$m^* = m(1 + \lambda)$$

- Relevant for (phonon) superconductivity

$$k_B T_C = 1.14 \hbar \omega_D \exp\left(-\frac{1}{\lambda}\right)$$

- Experiment:
- Phonon spectrum
- Tunneling spectroscopy
- Effective mass
  - Specific heat
  - Quantum oscillations
  - ARPES



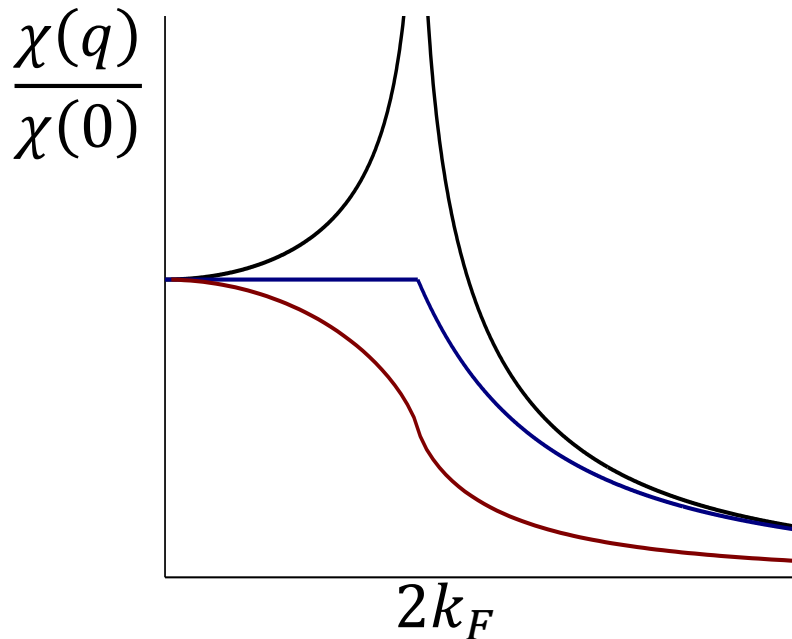




# Dielectric Function $\epsilon(q)$

- Thomas Fermi

$$\epsilon(q) = 1 + \frac{q_{TF}^2}{q^2}$$



Linhard susceptibility

$$\chi(q)$$

$$= -e^2 \int \frac{dk}{4\pi^3} \frac{f_{\mathbf{k}-\mathbf{q}} - f_{\mathbf{k}+\mathbf{q}}}{E(\mathbf{k} + \mathbf{q}) - E(\mathbf{k} - \mathbf{q})}$$

$$1D \quad -\frac{1}{2\pi q} \ln \left| \frac{s+1}{s-1} \right| \quad s = \frac{q}{2k_F}$$

$$2D \quad -\frac{1}{2\pi} \left\{ 1 - \left( 1 - \frac{4}{s^2} \right) \theta(s-1) \right\}$$

$$3D \quad -\frac{k_F}{2\pi^2} \left\{ 1 - \frac{s}{4} \left( 1 - \frac{4}{s^2} \right) \ln \left| \frac{s+1}{s-1} \right| \right\}$$

Singularity at  $2k_F$



# Friedel Oscillations

- Using Linhard susceptibility

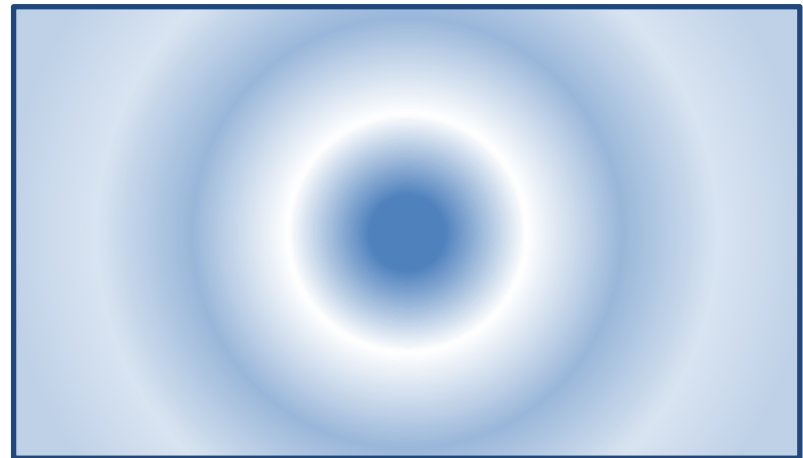
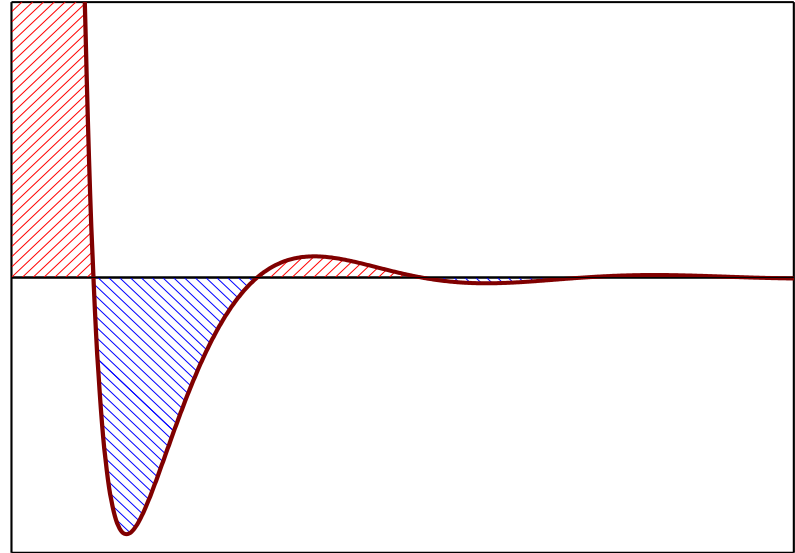
$$\delta n(q) = \chi_0(q)V(q)$$

Fourier transform

$$\begin{aligned}\delta n(r) &= \int \frac{d^3q}{(2\pi)^3} \delta n(q) e^{iqr} \\ &= \int_0^\infty \frac{dq}{2\pi^2} \frac{q}{r} \frac{1 - \epsilon(q)}{\epsilon(q)} \sin(qr)\end{aligned}$$

!Careful: singularity !

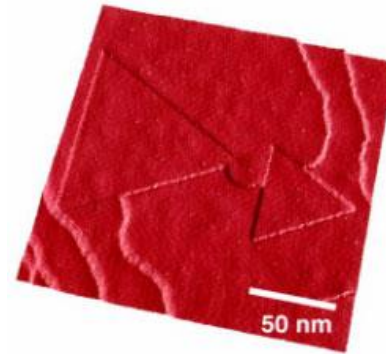
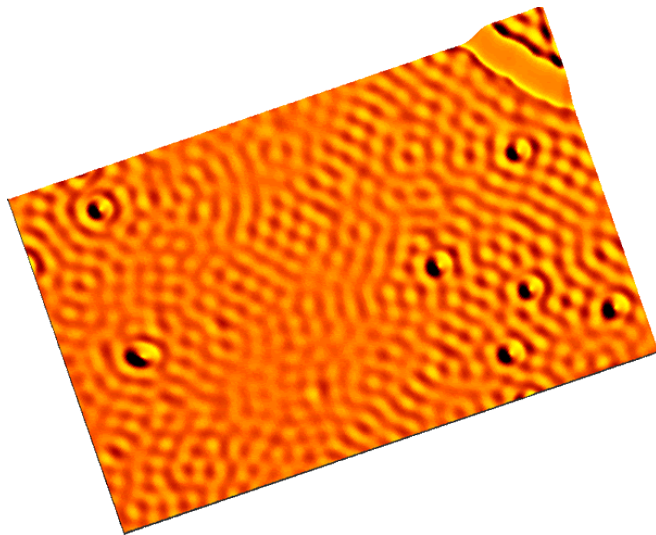
$$\delta n(r) \approx -\frac{\pi A \cos 2k_F r}{r^3}$$



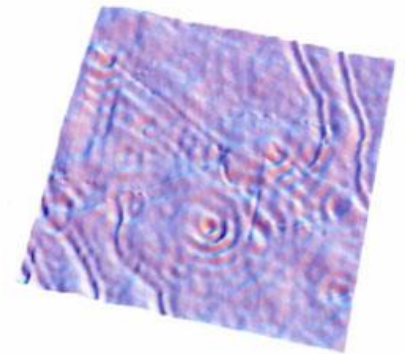


# Friedel Oscillations Experiment

- STM – Pd on Au(111)



Topography



Local Density of States (LDOS)  
(Friedel Oscillation)

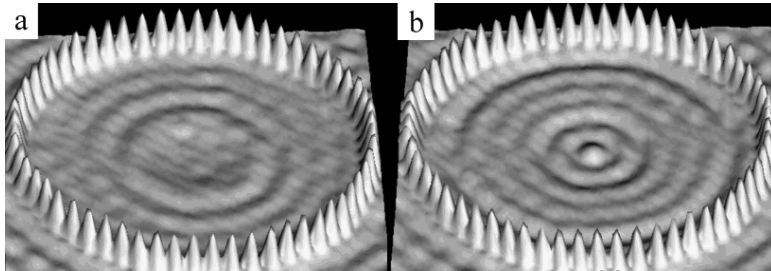
Suzuki, T., et al. *PRB*, 64, 081403.

NTT report 2000

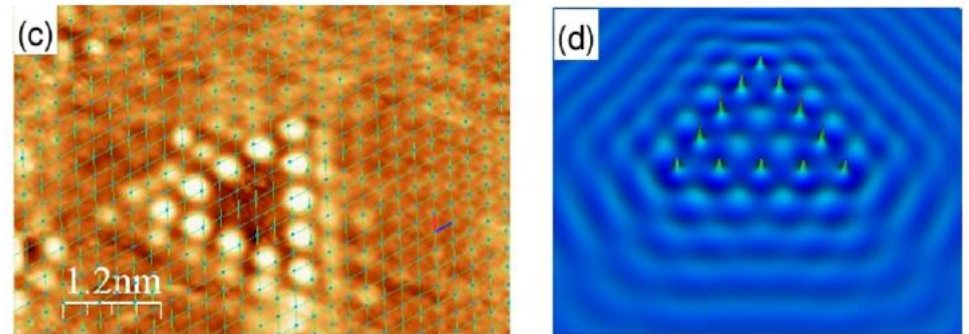


# Friedel Oscillations Experiment

- Fancy structures
- Corall Fe on Cu(001)
- Self assembly
- Ni on Rh(111)



D. Eigler, IBM Almaden



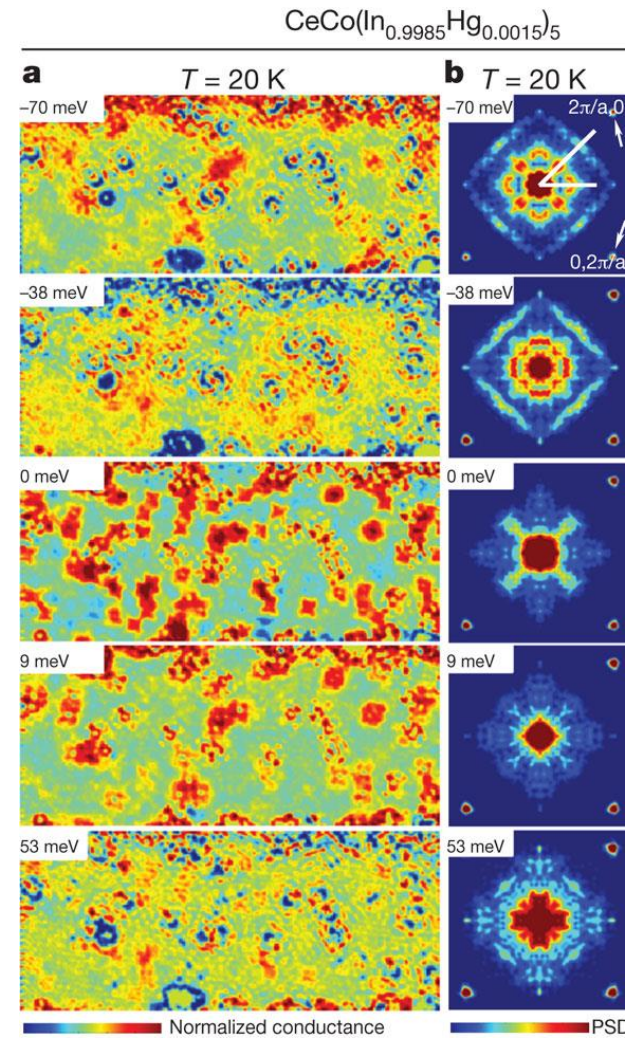
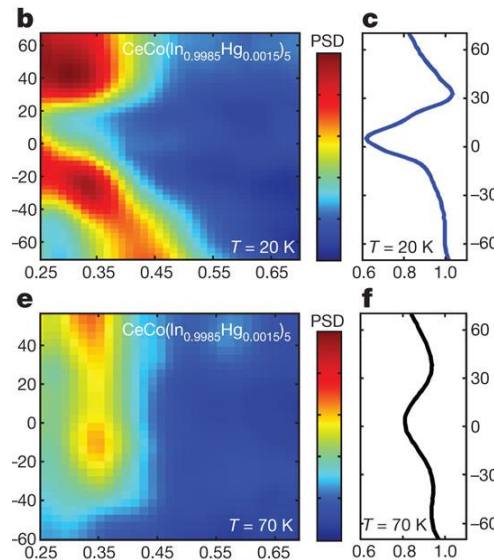
Manai, G., et al. *PRL* 101(16), 165701.



# Quasiparticle Interference

- Friedel oscillations on (surface) impurities
  - Measured in LDOS ( $dI/dV$ )
  - Analysis: Fourier Transform
- > mapping the Fermi surfac
- Energy dependency
- > Mapping dispersion relations

Aynajian, P., et al.  
*Nature*, 486, 201





# RKKY Interaction

- Interaction  $J$  of conduction electrons with local moments (f-electrons, nuclear moments) couples moments

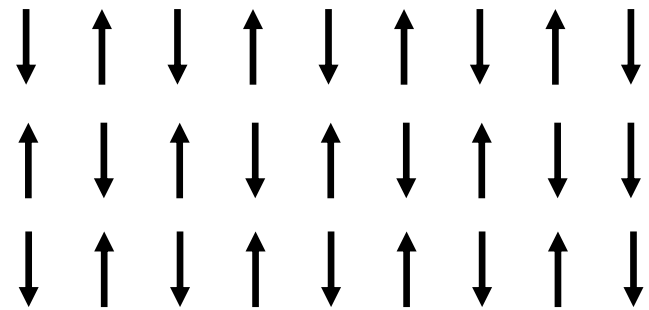
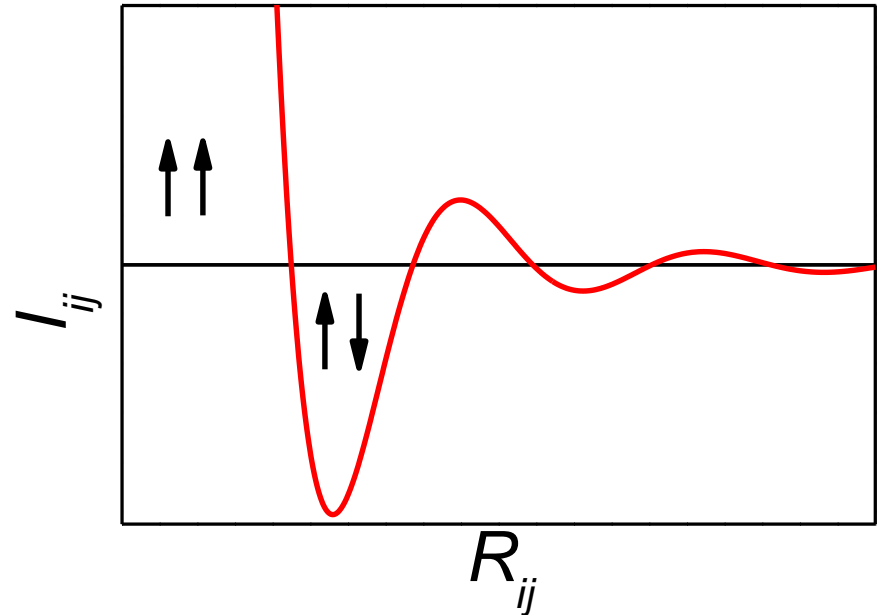
- Spatially dependent coupling

$$I(R_i - R_j)$$

$$\propto \frac{J^2}{\epsilon_F} F(2k_F |R_i - R_j|)$$

- oscillating

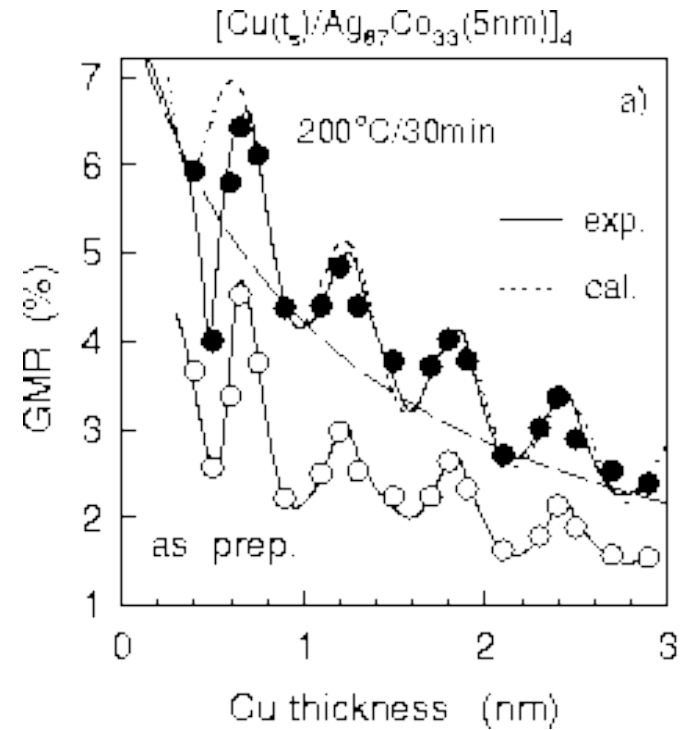
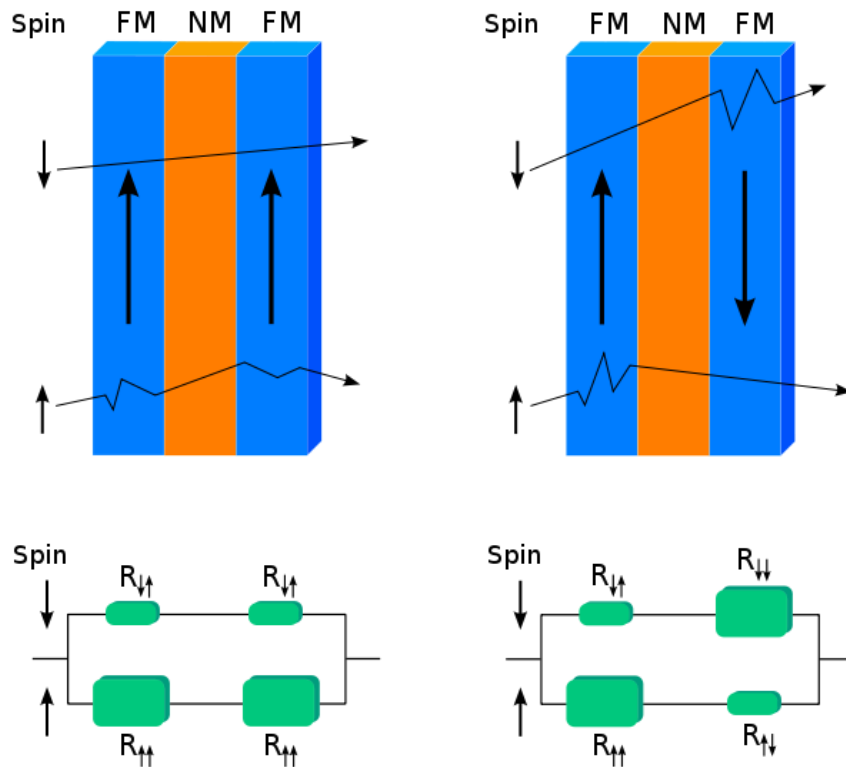
$$F(x) = \frac{x \cos x - \sin x}{x^4}$$





# RKKY application

- GMR devices



Europhys. Lett, **37** (3)