



# Correlated Electron Systems

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# Timetable

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- Dates and Times:

07/02	2-4.20 pm with 20 min break
21/02	3-4.20 pm with 20 min break
28/02	2-3pm



# Overview

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0. Free Electron Theory
1. Fermi Liquid Theory
2. Kondo effect and Heavy Fermion Materials
3. Screening, El-Ph Interaction, and RKKY
4. Doniach Picture and Quantum Phase Transitions
5. Non-Fermi-Liquid and Quantum Critical Points
6. (Mott Insulator)



# Literature

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## General Solid state Physics

- C. Kittel, Introduction to Solid State Physics, 7th edition, Wiley, NY, 1996.
- N.W. Ashcroft and N.D. Mermin, Solid State Physics, 1976.
- J.M. Ziman, Principles of the Theory of Solids, CUP, Cambridge, 1972.
- H. Ibach and H. Lüth, Solid State Physics, Springer 1995.
- J. Singleton, Band Theory and the Electronic Properties of Solids, OUP 2001.

## Correlated electron materials

- Coleman, P. *Introduction to Many-Body Physics*. CUP 2015
- Y. Onuki *Physics of Heavy Fermions*
- S. Sachdev, *Quantum Phase Transitions*. CUP 1998
- A. C. Hewson, *The Kondo problem to heavy fermions*. CUP, 1997.
- Pines and Nozieres: *The Theory of Quantum Liquids*



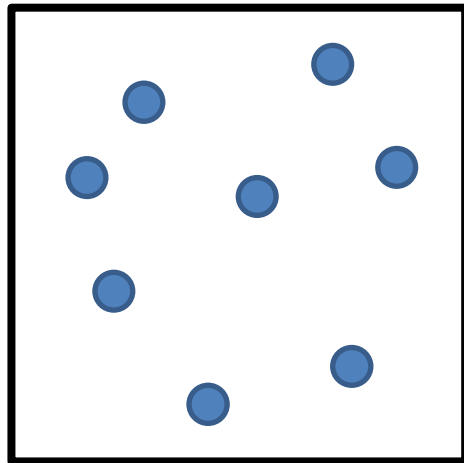
# Correlated Electron Systems

## 0) (Nearly) Free electron Theory



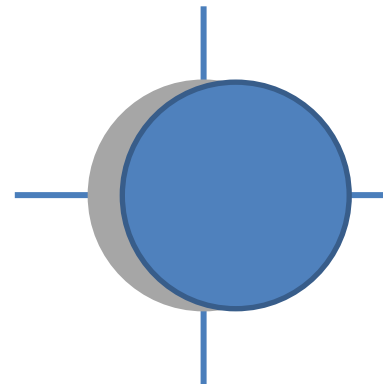
# Drude model - Successes

- Gas of independent electrons
- Collisions with ionic cores only
- Relaxation time approximation



Electrical Transport

$$j = -nev_D = -\frac{ne}{m} p_D$$
$$0 = \frac{dp_D}{dt} = -eE - \frac{p_D}{\tau}$$
$$= -eE - \frac{mv_D}{\tau}$$
$$\sigma = \frac{j}{E} = \frac{ne^2\tau}{m}$$



Reason:  
Full Fermi  
surface displaced



# Conductivities – Wiedemann Franz

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Electrical conductivity

$$\sigma = \frac{ne^2\tau}{m^*}$$

Thermal conductivity

$$\begin{aligned}\kappa &= \frac{1}{3} C \langle c \rangle l \\ &= \frac{\pi^2 n k_B^2 T \tau}{3m^*}\end{aligned}$$

Wiedemann-Franz Law

$$\frac{\kappa}{\sigma} = \frac{\pi^2 k_B T n \tau / 3m^*}{ne^2\tau/m^*} = \frac{\pi^2}{3} \left( \frac{k_B}{e} \right)^2 T = L_0 T$$

$$\text{Lorentz number } L = 2.45 * 10^{-8} \frac{\text{W}\Omega}{\text{K}}$$



# Drude Model - failures

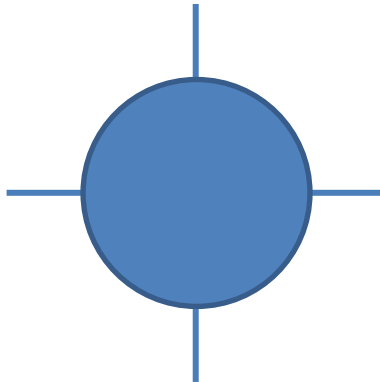
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Specific heat: Orders of magnitude too large

$$C = \frac{3}{2}nk_B$$

Reason: Not all electrons can be excited

Solution: Sommerfeld model







# Sommerfeld Model

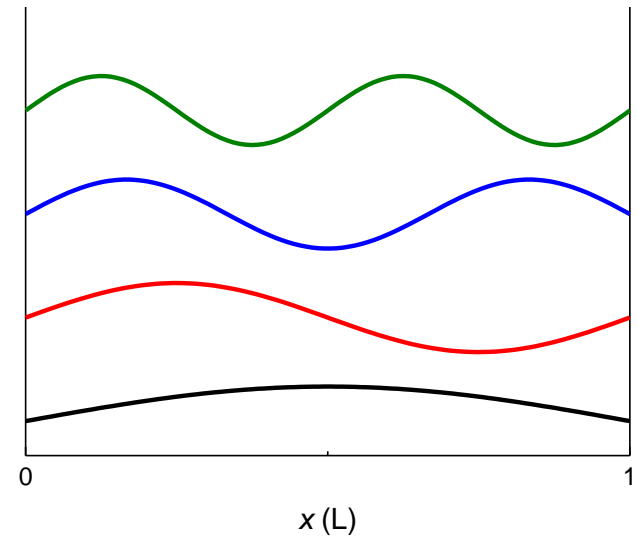
- Quantized states
- $k$  – space volume per state

$$\frac{(2\pi)^3}{V}$$

- Electron energy  $E(k) = \frac{\hbar^2 k^2}{2m_e}$

- Fermi-Dirac Statistics

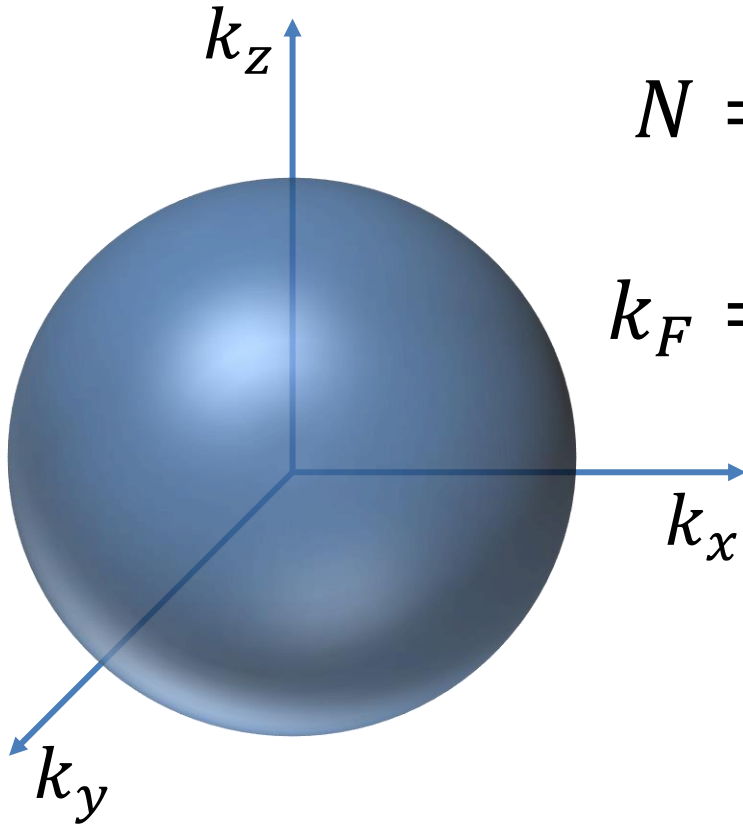
$$f(E) = \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1}$$





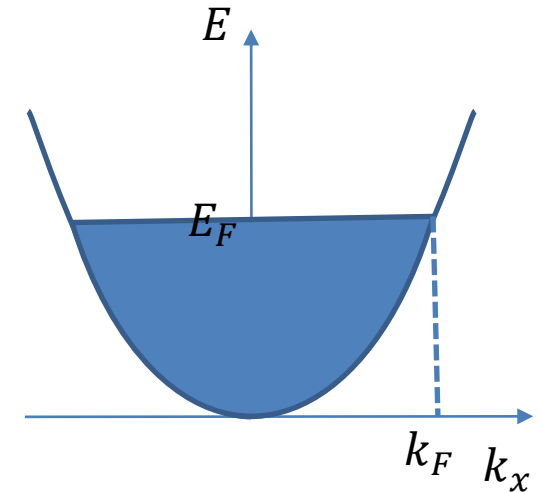
# Free Electron Model

- Electrons fill low energy states up to  $E_F$



$$N = 2 \frac{4/3\pi k_F^3}{(2\pi/L)^3}$$

$$k_F = (3\pi^2 n)^{1/3}$$



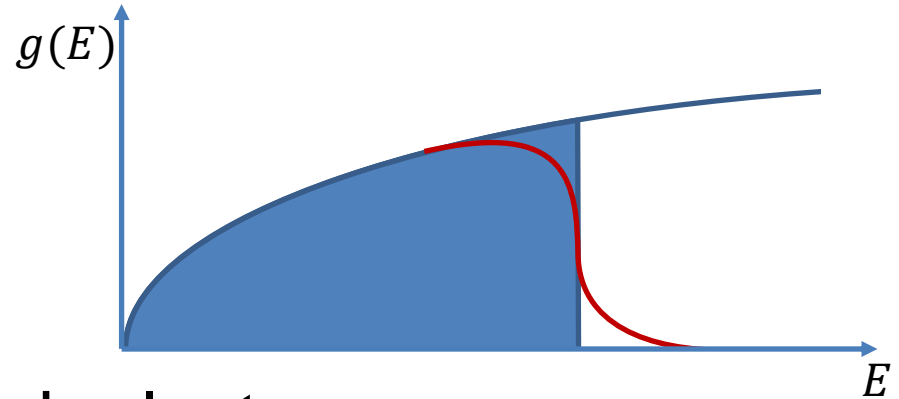
$$3D \ g(E) = \frac{1}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{\frac{3}{2}} \sqrt{E}$$



# Specific Heat (qualitatively)

$$C_{el} = \frac{dU_{el}}{dT}$$

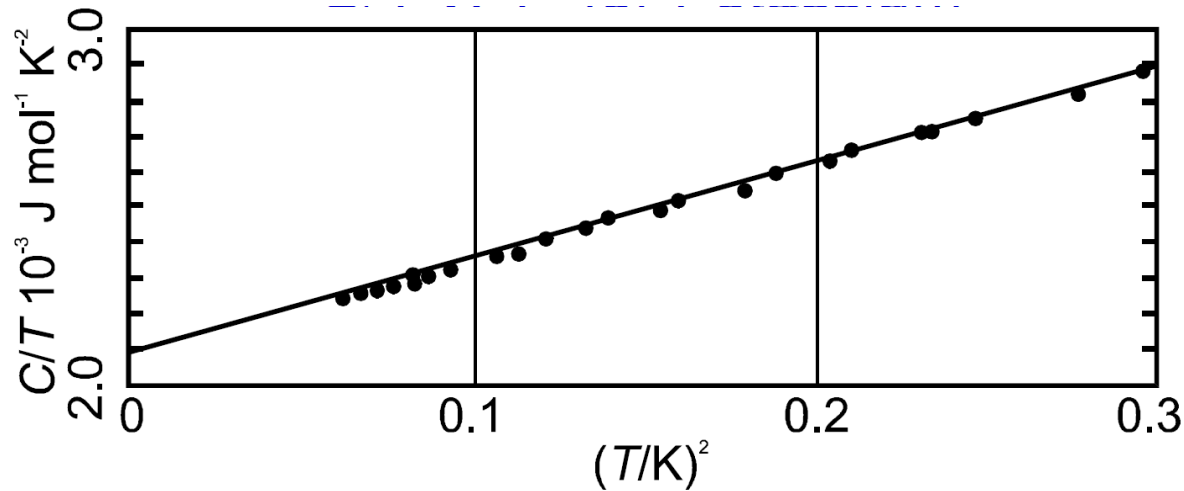
- Electrons within  $k_B T$
- There are  $g(E_F)k_B T$  such electrons
- With (classical kinetic) energy  $E = \frac{3}{2}k_B T$
- $U_{el} \propto g(E_F)T^2$
- $C_{el} \propto g(E_F)T$       exact:  $C_{el} = \gamma T$
- Sommerfeld coefficient  $\gamma = \frac{\pi^2}{2}nk_B \frac{1}{T_F}$





# Sommerfeld coefficient

- $C = \gamma T + \beta T^3 \rightarrow \frac{C}{T} = \gamma + \beta T^2$   
( $\beta T^3$  - Lattice specific heat )

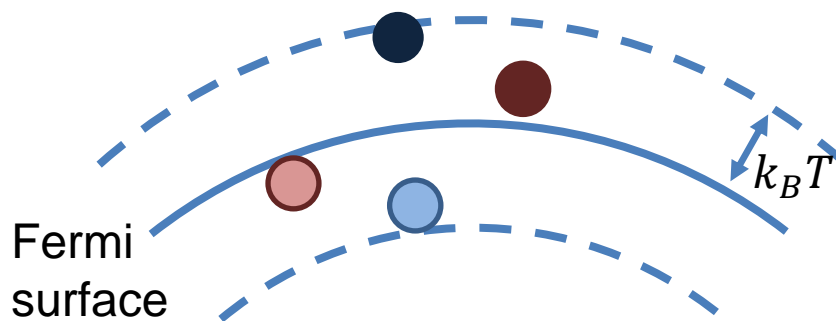
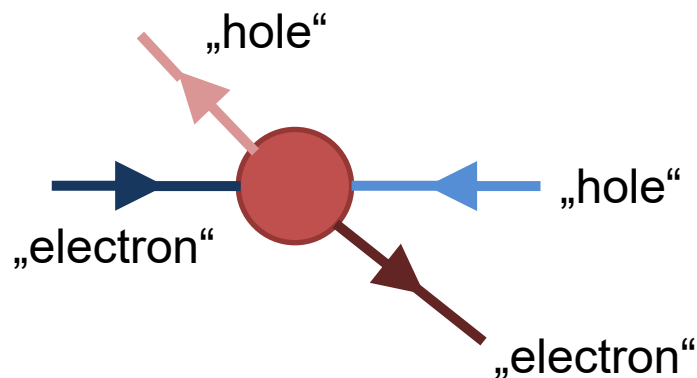


Potassium (K)	Theoretical	Experimental
$\gamma$ (mJ mol K <sup>-2</sup> )	1.67	2.08



# Electron scattering rate

- Scattering event:
- Energy, momentum, and charge conservation
- Number of initial states  $\propto [g(E_F) * (E - E_F)]$
- Number of final states  $\propto [g(E_F) * (E - E_F)]$



- Scattering rate  $\Gamma \propto [g(E_F) * (E - E_F)]^2$

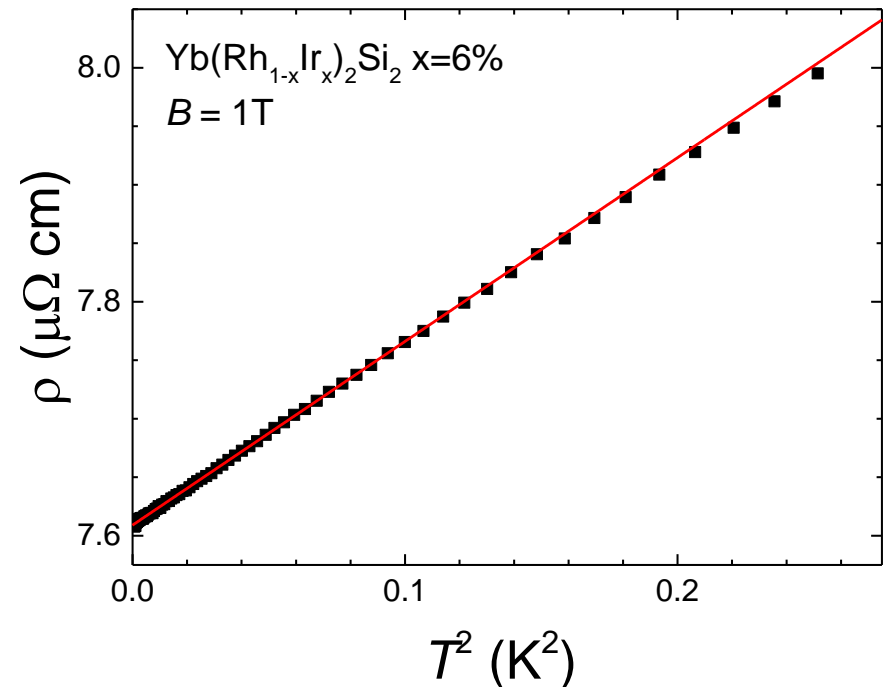


# Electrical Resistivity

- Lifetime  $\tau \propto [g(E_F) * T]^{-2}$
- Boltzman theory  $\rho \propto \frac{1}{\tau} \propto g(E_F)^2 T^2$
- With residual resistivity

$$\rho = \rho_0 + AT^2$$

- Prefactor  $A \propto g(E_F)^2$   
small for normal metals
- Large detected in heavy  
fermion materials  
with large DoS





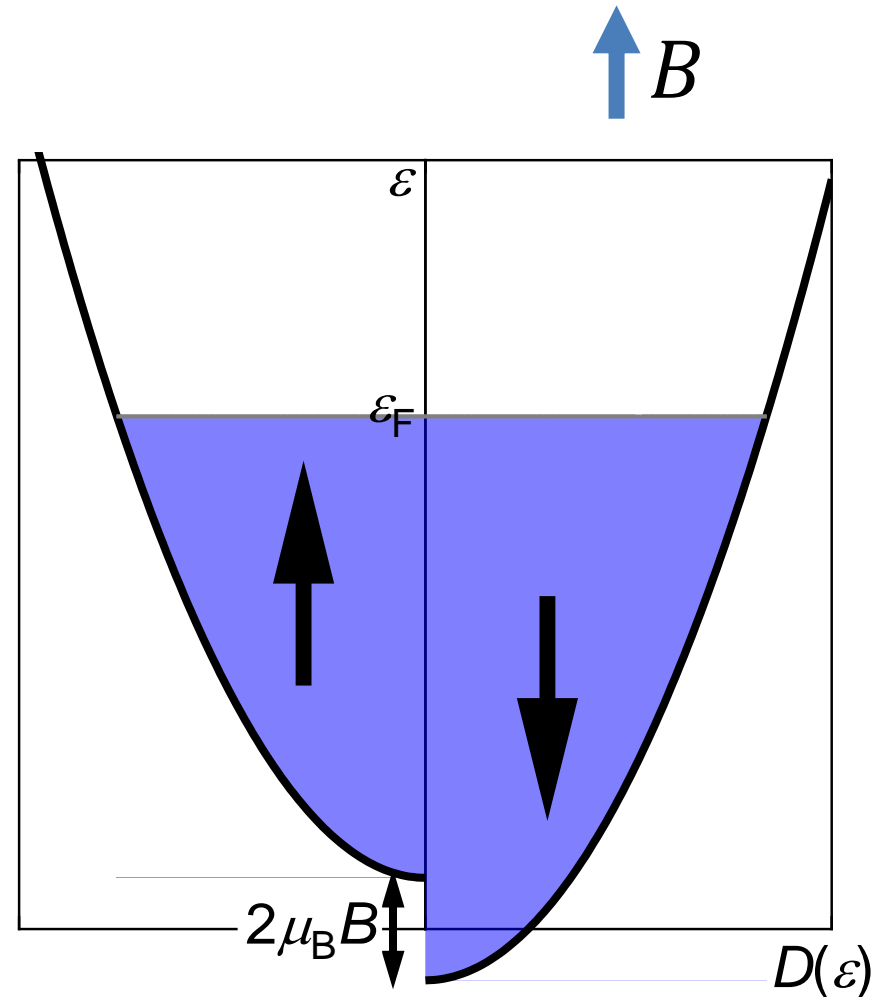
# Susceptibility

$$N^{\uparrow\downarrow}(\epsilon) = \frac{1}{2} N(e \mp \mu_0 \mu_B H)$$
$$= \frac{1}{2} (N \pm g(E_F) \mu_0 \mu_B H)$$

$$M = (N^{\uparrow} - N^{\downarrow}) \mu_B$$
$$= g(E_F) \mu_0 \mu_B^2 H$$

- Pauli susceptibility

$$\chi_P = \frac{\partial M}{\partial H} = \mu_0 \mu_B^2 g(E_F)$$





# Kadowaki Woods ratio

- Specific heat

$$\frac{C}{T} = \gamma + \beta T^2$$

$$\gamma = \frac{1}{3} \pi^2 k_B^2 g(E_F)$$

- Resistivity

$$\rho = \rho_0 + AT^2$$

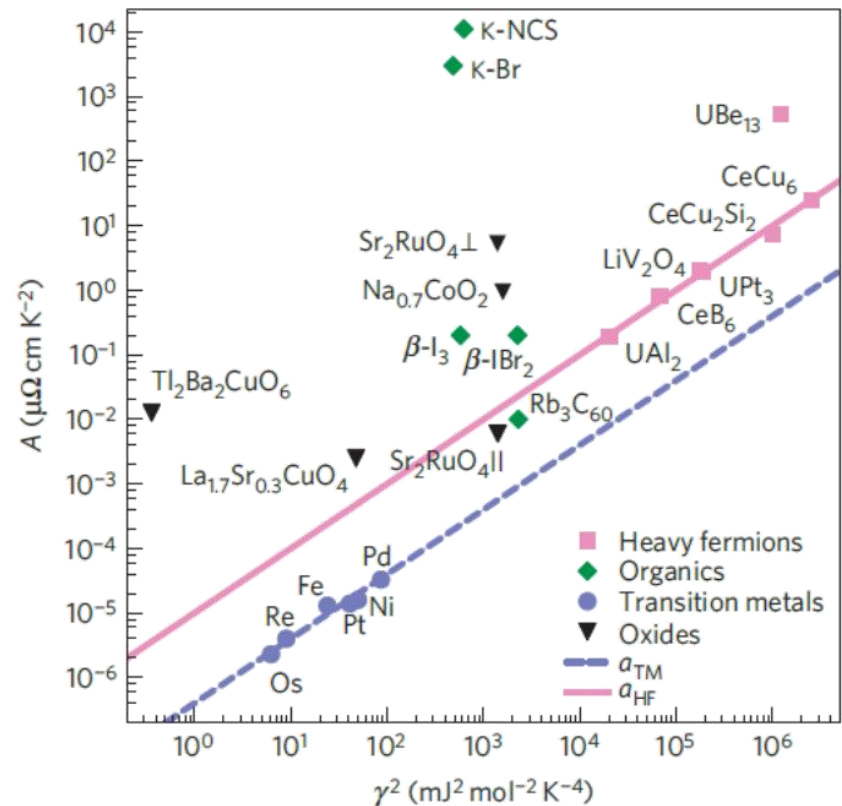
$$A \propto g(E_F)^2$$

Kadowaki, K. & Woods, S. B. *Solid State Commun.* **58**, 507 (1986)

Nature Physics volume 5, 422 (2009)

- Kadowaki-Woods ratio

$$\frac{A}{\gamma^2} = \text{const} \approx 10 \mu\Omega \text{ cm mol}^2 \text{K}^2 \text{J}^{-2}$$







# Sommerfeld-Wilson Ratio

- Specific heat

$$\frac{C}{T} = \gamma + \beta T^2$$

$$\gamma = \frac{1}{3} \pi^2 k_B^2 g(E_F)$$

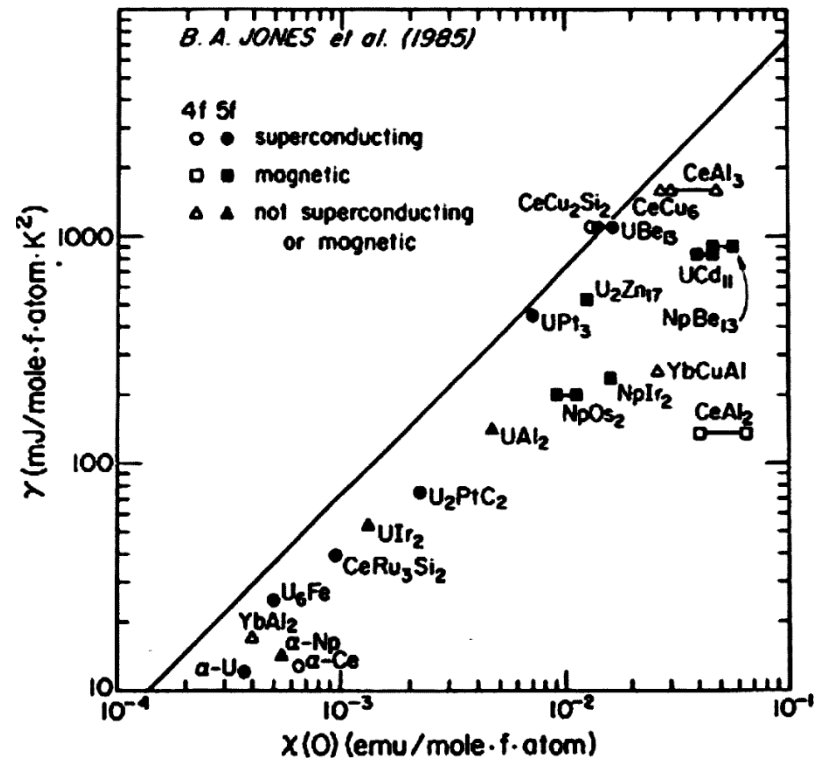
susceptibility

$$\chi_P = \mu_0 \mu_B^2 g(E_F)$$

Lee, P. A., et al. *Comments Condens. Matt. Phys.* **12**, 99 (1986).

- Sommerfeld-Wilson Ratio

$$SWR = \frac{\chi_0}{\gamma_0} = \frac{3\mu_0 \mu_{eff}^2}{\pi^2 k_B^2}$$





# Sommerfeld - limitations

- Hall effect

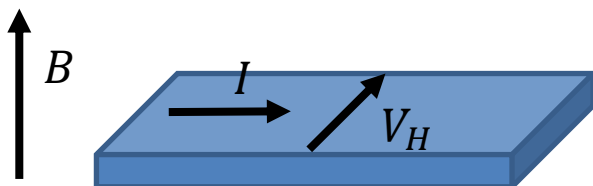
$$R_H = -\frac{1}{ne}$$

Opposite sign observed

Reason: negative band curvature  
can cause apparent -tive mass

$$\frac{\partial^2 \epsilon}{\partial k^2} < 0$$

Solution: Periodic potential

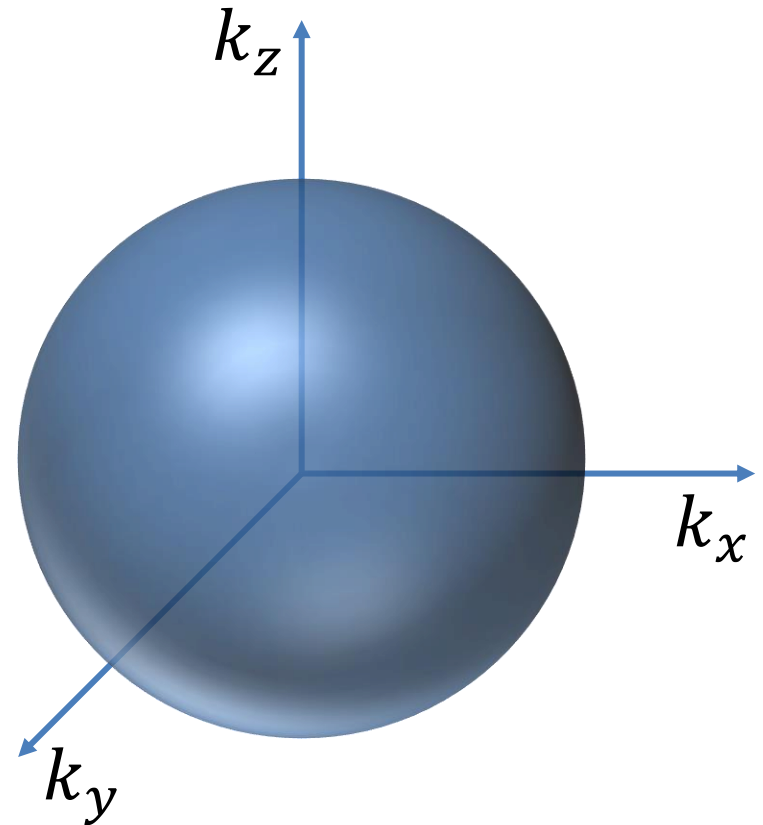
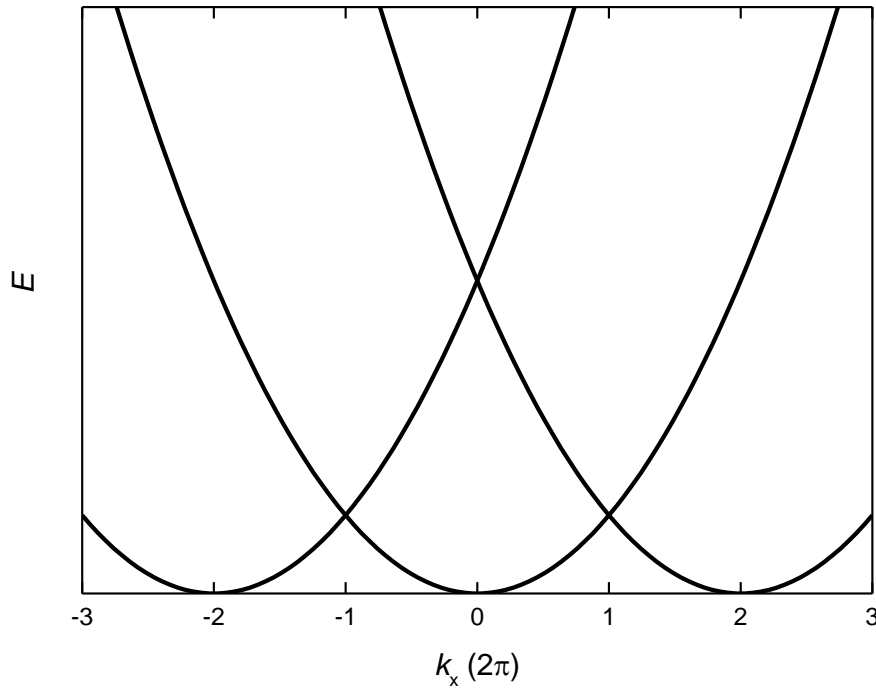




# Fermi Surface

- Free electron

$$E(\mathbf{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$$

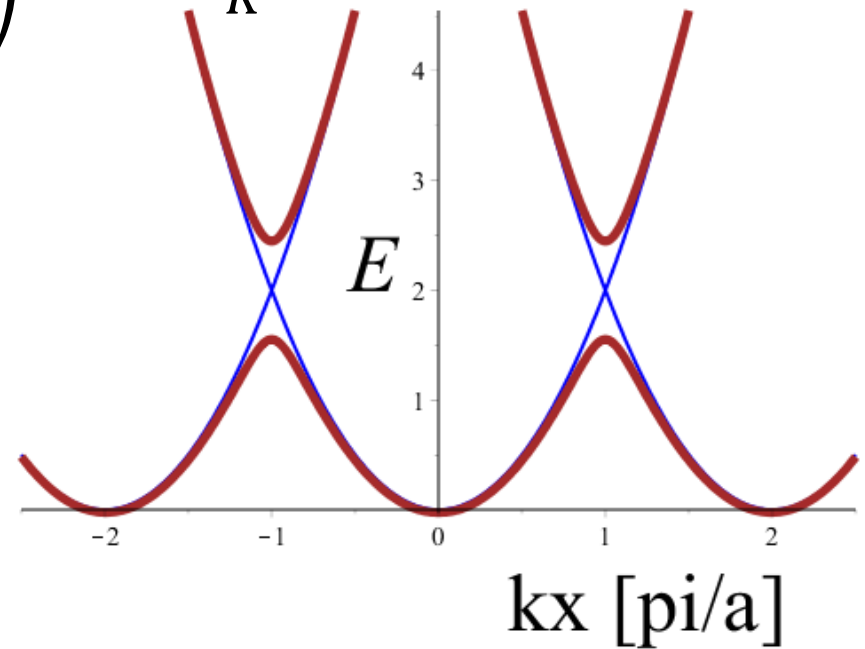
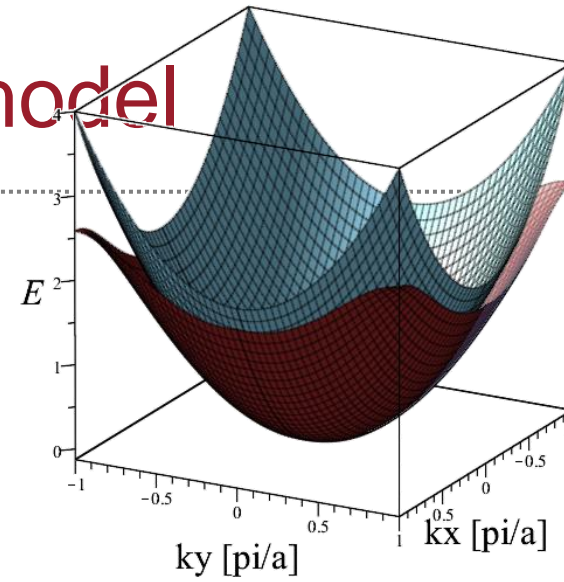
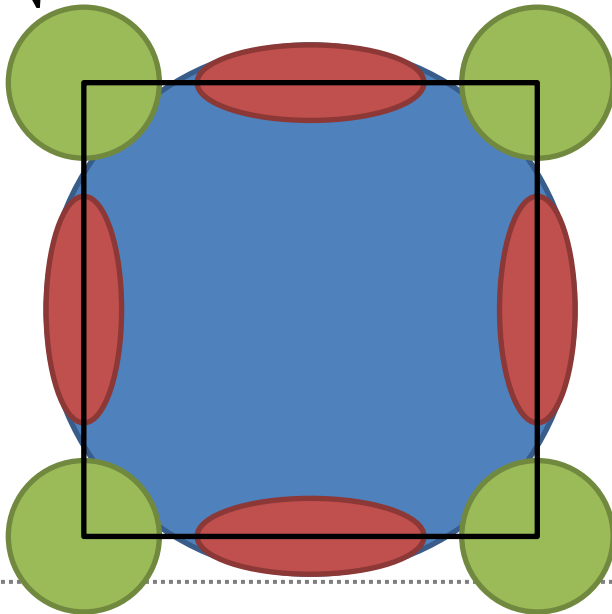




# Nearly free electron model

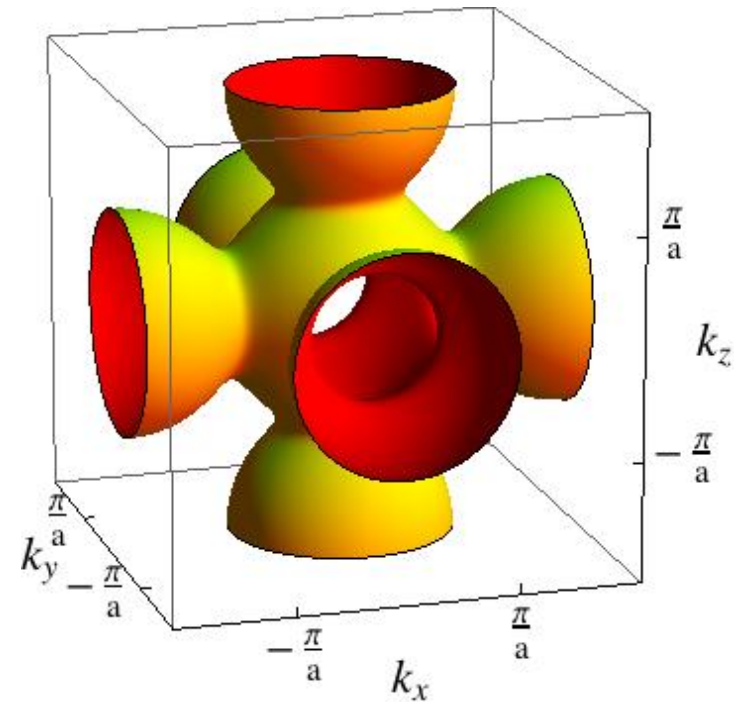
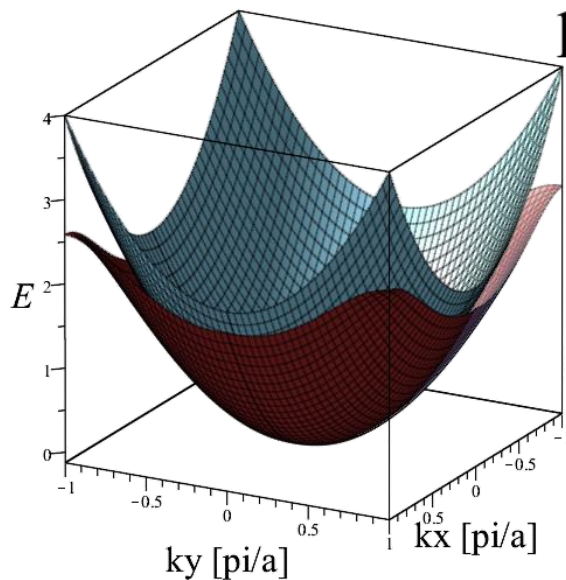
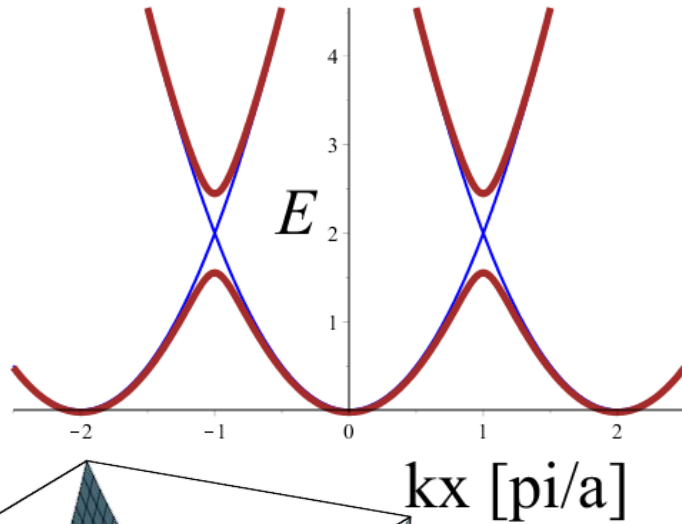
$$E(\mathbf{k}) = \frac{\hbar^2}{2m} \frac{1}{2} (k^2 + (k - K))^2$$

$$\pm \frac{1}{2} \sqrt{\left( \frac{\hbar^2}{2m} k^2 - \frac{\hbar^2}{2m} (k - K)^2 \right)^2 + 4V_K^2}$$





# Nearly Free electron model

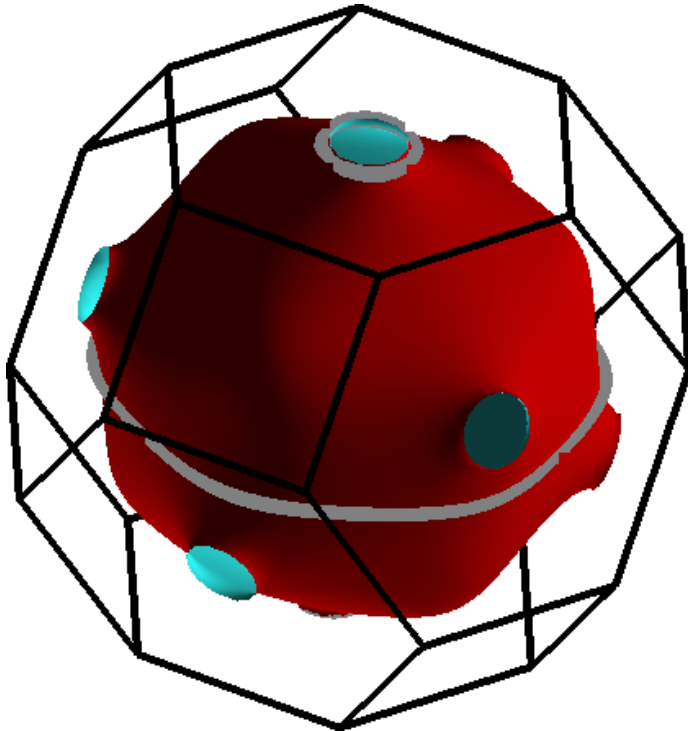




# Fermi surface measurement

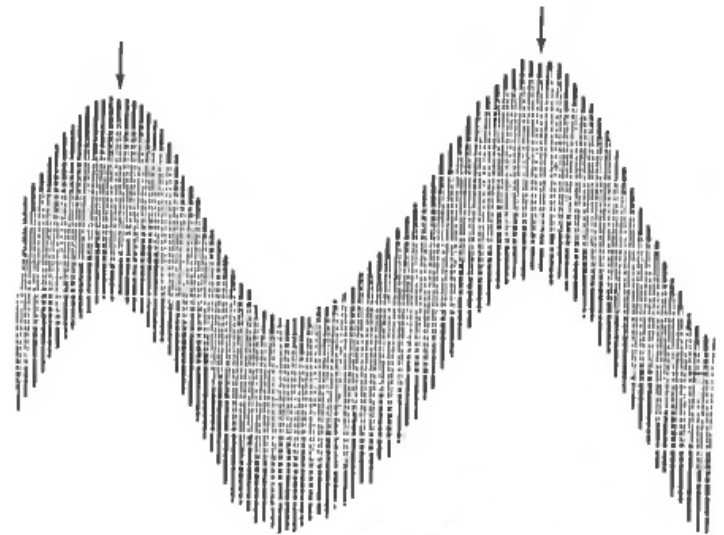
Frequency of extremal orbit

$$F = \frac{\hbar}{2\pi e} A$$



Quantum Oscillation measurements

- Prove Fermi Surface
- Probe extremal orbits
- Measure effective mass





# Successes of (nearly) Free Electrons

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- Electrical transport
  - Heat capacity
  - Thermal transport
  - Ultrasound
  - Spectroscopy
  - Band structure
  - Fermi Surface
  - ...
- Basis of electronic engineering
  - Transistor
  - Integrated electronics
  - Computer
  - Sensors
  - LEDs
  - ...



# Limitations of Nearly Free Electron Model

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- No many-body states
  - Single-particle Hamiltonian (with periodic potential)
  - Filled with independent states (Fermi-Dirac Statistics)
  - Coloumb interaction neglected
- > shouldn't work at all

How comes that we get so good agreement with experiment?