



Advanced 3- Φ SiC/GaN PWM Inverter & Rectifier Systems

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Swiss Federal Institute of Technology (ETH) Zurich Power Electronic Systems Laboratory www.pes.ee.ethz.ch

July 03, 2019







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ETH Zurich

| 21 | Nobel Prizes |
|------|---------------|
| 509 | Professors |
| 5800 | T&R Staff |
| 2 | Campuses |
| 136 | Labs |
| 35% | Int. Students |
| 90 | Nationalities |
| 36 | Languages |

150th Anniv. in 2005



Departments

ARCH **Architecture** BAUG **Civil, Environmental and Geomatics Eng.** BIOL **Biology** BSSE **Biosystems** CHAB **Chemistry and Applied Biosciences Earth Sciences** ERDW GESS Humanities, Social and Political Sciences HEST Health Sciences, Technology **Computer Science** INFK ITET **Information Technology and Electrical Eng.** MATH **Mathematics** MATL **Materials Science** MAVT **Mechanical and Process Engineering** Management, Technology and Economy MTEC PHYS **Physics** USYS **Environmental Systems Sciences**

Students ETH in total

| 14′500 | B.Sc.+M.ScStudents |
|--------|--------------------|
| 4′500 | Doctoral Students |





ITET – Research in E-Energy



Balance of Fundamental and Application Oriented Research





Power Electronic Systems Laboratory



2 Sen. Researchers

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in Europe

Competence Centre

Outline

► Introduction

- SiC/GaN VSD Application Challenges
 Adv. PWM Inverter Topologies
 Adv. PWM Rectifier Topologies

- *Conclusions*



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T. Guillod F. Krismer D. Menzi J. Miniböck **Acknowledgement:** P. Niklaus

> POWER ELECTRONICSUK Underpinning Research



3-Ф Variable Speed Drive Inverter Systems

State-of-the-Art Future Requirements









- Industry Automation / Robotics
 Material Machining / Processing Drilling, Milling, etc.
 Pumps / Fans / Compressors
 Transportation
- etc., etc.

.... Everywhere !



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• 60% of El. Energy Used in Industry Consumed by VSDs





VSD State-of-the-Art

- Mains Interface / 3-Ф PWM Inverter / Motor All Separated
 - → Large Installation Space
 / \$\$\$
 → Complicated / Expert Installation
 / \$\$\$
- Conducted EMI / Radiated EMI / Bearing Currents / Reflections on Long Motor Cables
 - \rightarrow Shielded Motor Cables / \$\$\$
 - \rightarrow Inverter Output Filters (Add. Vol.) / \$\$\$



High Performance @ High Level of Complexity / High Costs (!)





Future Requirements (1)

- "Non-Expert" Install. / Low-Cost Motors
- Wide Applicability / Wide Voltage & Speed Range \rightarrow Matching of Supply & Motor Voltage

 \rightarrow "Sinus-Inverter"

High Availability



• Single-Stage Energy Conversion \rightarrow No Add. Converter for Voltage Adaption



Future Requirements (2)

- *Red. Inverter Volume / Weight*
- Lower Cooling Requirement High Speed Machines



- \rightarrow Low Inverter Losses & HF Motor Losses
- \rightarrow High Output Frequency Range





 \rightarrow Main "Enablers" — SiC/GaN Power Semiconductors & Adv. Inverter Topologies





Enabling Technologies & Challenges

WBG Semiconductors Advanced Inverter Topologies ——





- Very Low Switching Losses \rightarrow High Switching Frequencies
- Small Chip Area

- Very Low On-State Resistance \rightarrow Low (Partial Load) Conduction Losses

 - \rightarrow Compact Realization



→ Challenges in Packaging / Thermal Management / Gate Drive / PCB Layout → Extremely High Sw. Speed (dv/dt) → Motor Isol. Stress / Reflections / Bearing Curr. / EMI



Si vs. SiC

■ Si-IGBT ■ SiC



Source: M. Bakran / ECPE 2019

 \rightarrow Extremely High dv/dt \rightarrow Motor Isol. Stress / Reflections / Bearing Curr. / EMI







dv/dt - Challenges





Motor Insulation Destruction

- Partial Discharge Due to Insul. Imperfections (Ionisation & Transient Space Charge Distrib.)
- Partial Discharge Inception Voltage (PDIV) Dependent on dv/dt



→ dv/dt-Limits Specified by Standards
 → dv/dt-Filtering or Full Sinewave Filtering



Surge Voltage Reflections

- Short Rise Time of Inv. Output Voltage
- **Impedance Mismatch of Cable & Motor** \rightarrow Reflect. @ Motor Terminals / High Insul. Stress
- Long Motor Cable $l_c \ge \frac{1}{2} t_r v$

2 1.8 1.6 Motor Peak Voltage (p.u.) $\mathbf{2}$ 1.4 1.2 1.81 t_r 0.8 1.60.6 -25ns0.4 · *u*_{AC} 1.4-50ns 0.2 ^uCG - 100ns 0 $i_{\rm AC} \cdot 10 {\rm V}/U_{\rm DC}$ 1.2- 200ns -0.2u_{CG,max} × - 400ns -0.4 -0.6 10^{2} 10^{0} 10^{1} 10^{-1} 10^{3} 0 500 1000 1500 2000 2500 3000 3500 4000 Cable Length (m) time in ns

→ dv/dt-Filtering or Full Sinewave Filtering / Termination & Matching Networks etc.





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Source: Bakran / ECPE 2019

Motor Bearing Currents

Switching Frequency CM Inverter Output Voltage \rightarrow Motor Shaft Voltage *Electrical Discharge in Bearing ("EDM")*



→ Cond. Grease / Ceram. Bearings / Shaft Grndg Brushes / dv/dt- OR Sine Wave Filters





SiC vs. Si Inverter EMI Spectrum

■ SiC Enables Higher dv/dt

- \rightarrow Factor 10
- SiC Enables Higher Switching Frequencies

 \rightarrow Factor 10

EMI Envelope Shifted to Higher Frequencies

Source/Idea: M. Schutten / GE



- → Higher Influence of Filter Component Parasitics and Couplings
- \rightarrow dv/dt-Filtering or Full Sinewave Filtering, Shielded Motor Cables





DM & CM Conducted / Radiated EMI

DM Conducted EMI Pathway



• CM Conducted EMI Pathway (Motor Side)

Source: J. Luszcz / WILEY 2018



• EMI Standards (Cond. & Rad.) \rightarrow Shielded Motor Cables OR Full Sinewave Filtering





► 3-Φ DM/CM EMI Measurement & Separation



• Cap. Coupled Interface Circuit as Replacement for LISN (Var. Output Frequ.)



Inverter Output Filters

dv/dt-Filters — Motor Cable Termination —— Staggered Switching Active CM Filtering





Passive dv/dt-Filter & Cable Termination

• $f_c > f_s \rightarrow$ Reduction of High dv/dt of Inverter Output Voltage to 3...5kV/us



Termination of Cable with Characteristic Impedance & Damping (No dv/dt-Limit)



• Limited Applicability @ High Output / Sw. Frequencies (Losses) → Full Sinewave Filter



Active dv/dt-Filtering

- Active Control of the dv/dt-Filter Transient Behavior \rightarrow 2-Step Transition
- **Influence of Motor Current** \rightarrow Adaption of Sw. Scheme
- **DC-** Connection Optional



• Ideally No Damping Resistors

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• Increase of Sw. Losses \rightarrow Low Sw. Frequ. OR High Sw. Speed Semiconductors



Staggered/Resonant Switching

■ Staggered Sw. Parallel Bridge Legs → Non-Resonant Multi-Step Transistion



Source: J. Ertl et al. PCIM Europe 2017

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■ 2-Step Switching / Resonant Transition (cf. Active dv/dt-Filter)



• Adv. for High Power / Output Curr. Syst. Employing Parallel Bridge Legs & Local Comm. Cap.





Active CM Voltage Filters

Series Compensation of CM Voltage & DM dv/dt-Filtering



• Aux. Bridge Leg \rightarrow Zero CM Voltage for Active Inv. Sw. States & DM dv/dt-Filtering



Source: T.A. Lipo et al., 1999

Source: X. Chen et al., 2007

• Residual CM Voltage Due to Transf. & Sw. Imperfections / Complexity & Missing Zero State





Inverter Output Filters

Sinewave Filters





- $f_c << f_s$ DM and CM (!) Output Filter Stage \rightarrow Sin. Output Voltage / No Sw. Frequ. CM Voltage No Shielded Motor Cables Required
- **Reduction of Mains-Side EMI**



- Large Weight & Volume → ≈2 kVA/dm³ (f_s= 4...8 kHz, f_o= 0...100 Hz)
 Filter Cap. Starpoint Connected to PE Not DC- (Allows Retrofitting)



Full Sinewave Filtering @ ZVS/TCM Operation

- **ZVS of Inverter Bridge Legs** (No Use of the Intrinsic Diodes of Si MOSFETs) High Sw. Frequency & TCM \rightarrow Low Filter Inductor Volume



- Widely Varying Switching Frequency \rightarrow Voltage Headroom and/or Multiple Bridge-Legs Rel. High Current Stress on the Power Transistors





Full Sinewave Filtering @ CCM Operation (1)

- DC- Ref. LC-Filter \rightarrow Max. Ind. Current Ripple @ d=0.5
- DCCMM Max. DC-Offset M_0 Shifting Phase Voltages Towards d=0 OR d=1 GTHM Max. 3^{rd} Harm. M_3 for Red. of Sw. Frequ. Harmonic Power



GTHM — Results in Add. Cap. Reactive Power \rightarrow Limited for Higher Frequencies



Full Sinewave Filtering @ CCM Operation (2)

- Massive Red. of Current Ripple @ Lower Modulation Index
- DCCMM Adv. for M = 0...0.5
 GTHM Adv. for M = 0.5...1.0



• GTHM — Results in Add. Cap. Reactive Power \rightarrow Limited for Higher Frequencies





Buck+Boost Inverter

Z-Source Inverter etc. VSI & DC/DC Front-End Double-Bridge VSI Phase-Modular Buck+Boost Inverter CSI & DC/DC Front-End







"Outside-the-Box" Topologies

Z-Source Inverter → Shoot-Through States Utilized for Boost Function
 Higher Component Stress Eff. Limits Boost Operation to ≈120% U_{in}



Source: F.Z. Peng / 2003 J. Rabkowski / 2007

■ 3-Φ Back-End DC/AC Cuk-Converter



• Integration Typ. Results in Higher Comp. Stresses & Complexity / Lower Performance





Boost Converter DC-Link Voltage Adaption

- Inverter-Integr. DC/DC Boost Conv. → Higher DC-Link Voltage / Lower Motor Current
- Access to Motor Star Point & Specific Motor Design Required
- No Add. Components



Source: J. Pforr et al. / 2009

Explicit Front-End DC/DC Boost Stage



 \rightarrow Analyze Coupling of the Control of Both Converter Stages \rightarrow "Synergetic Control"





Front-End DC/DC Boost Converter

- "Synergetic Control" @ High Output Voltage 2 (!) Inverter Phases Clamped → Low Switching Losses / High Efficiency Conv. PWM Inverter / Clamped Boost-Stage Operation @ Low Output Voltage



• Preferable for Low Dynamics Drive Systems



Double-Bridge Inverter (1)

- Alternative to Front-End DC/DC Converter \rightarrow Eff. Doubles DC-Link Voltage 2^{nd} Bridge Switching with Output Frequ. \rightarrow "Unfolder" Operation Avoids Volume and Losses of Boost Stage \rightarrow Eff. Single-Stage Conversion

- **Only Three Inductive Components**



• **Requires Open Winding Motor & Higher Number of Gate Drives**






• **Requires Open Winding Motor & Higher Number of Gate Drives**



Double-Bridge Inverter (3)

Hardware Demonstrator



• Requires Open Winding Motor & Higher Number of Gate Drives



Phase-Modular Topologies

Boost+Buck Modules Buck+Boost Modules





General Remarks

- Usually DC Link Voltage Midpoint Considered as AC Output Ref. Point
- Open Machine Starpoint \rightarrow Introduce CM Voltage Shift \rightarrow Neg. DC Rail as Reference



Three bidirectional dc-dc converters, with their own modulators, driven by a set of three-phase sine waves, constitute three phase voltages around the differential load.



 \rightarrow Realization of 3- \oplus Inverter Using 3 DC/DC Converter (Phase) Modules - S. Cuk/1982



Phase-Modular Boost+Buck / Buck+Boost Inverter

- **Wide Voltage Conv. Range** \rightarrow Battery or Fuel-Cell Supply & Adaption to Motor Voltage Continuous Output Voltage \rightarrow Explicit / Integr. LC Output Filter



 \rightarrow Preference for Low Number of Ind. Components \rightarrow Buck+Boost Concept – "Y-Inverter"







- Three-Phase Continuous Output / Low EMI !
- Buck+Boost Operation / Wide Input &/or Output Range Industrial Drive
 Standard Bridge Legs / Building Blocks 1.2kV SiC MOSFE
 ZVS Operation / Extreme Power Density



- 1.2kV SiC MOSFETs



Project Scope \rightarrow Hardware Demonstrator / Exp. Analysis / Comparative Evaluation





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- Sinusoidal Modulation
- 3rd Harmonic Injection

→ Variable Output Voltage DC Offset for Low Mod. Index OR Phase Clamping as Alternative Concepts



Adv. of Reduced Voltage Against DC- & Reduction of Sw. Losses





■ *"Democratic Control"* → Seamless Transition Between Buck & Boost Operation





Y-Inverter Prototype (a)

- **Demonstrator Specifications**
- Wide Input Voltage Range → 400...750V_{DC}
 Max. Input Current → ± 15A





- Max. Output Power
- **Output Frequency Range**
- Output Voltage Ripple

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- \rightarrow 6...11 kW
- → 0...500Hz
- → 3.2V Peak-to-Peak (incl. Add. Output Filter)





Y-Inverter Prototype (b)

- DC Voltage Range 400...750V_{pc}
- Max. Input Current ± 15A
- 0...230V_{rms} (Phase) 0...500Hz Output Voltage
- Output Frequency 100kHz
- Sw. Frequency
- $3 \times SiC (75 m \Omega) / 1200V$ per Switch
- IMS Carrying Buck/Boost-Stage Semicond. & Comm. Caps & 2nd Filter Ind.



Dimensions \rightarrow 160 x 110 x 42 mm³ (15kW/dm³, 245W/in³)





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• Measurement Results

 $U_{DC} = 400V$ $U_{AC} = 400V_{rms} \text{ (Motor Line-to-Line Voltage)}$ $f_0 = 50Hz$ $f_S = 100kHz \text{ / DPWM}$







→ Line-to-Line Output Voltage Ripple < 3.2V



Y-Inverter Prototype (d)

• Demonstrator Performance – Efficiency over Output Power @ Given Input Voltage



→ Multi-Level Bridge Leg Structure for Ind. Comp. Volume Reduction



Alternative Topology

• Phase Modules Based on 2-Switch Buck+Boost Topology



■ Lower Number of Switches / Higher Component Stresses → Low Power Applications



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DC/DC Buck Stage & Current Source Inverter

Monolithic Bidir. GaN Switches Synergetic Control





Current Source Inverter (CSI) Topologies

- Phase Modular Concept → Y-Inverter (Buck-Stage / Current Link / Boost-Stage)
 3-Φ Integrated Concept → Buck-Stage & Current DC Link Inverter



→ Low Number of Ind. Components & Utilization of Bidir. GaN Semicond. Technology



► 3-Φ Integrated Buck-Boost CSI (1)

- **Basic Topology Proposed in 1984 (Ph.D. Thesis of K.D.T. Ngo/CPES)** Bidir./Bipolar Switches \rightarrow Positive DC-Side Voltage for Both Directions of Power Flow



 \rightarrow Monol. GaN Switches \rightarrow Factor 4 Improvement in Chip Area Comp. to Discrete Realiz. \rightarrow Also Beneficial for Matrix Converter Topologies





► 3-Φ Integrated Buck-Boost CSI (2)

- Monolithic Bidir. Bipolar GaN Switches Featuring 2 Gates / Full Controllability
- Buck-Stage for Const. DC Current / PWM CSI for Output Voltage Control



→ "Synergetic Control" of Buck & Inverter Stage for Red. of Sw. Losses (~ -86%)





► 3-Φ Integrated Buck-Boost CSI (3)

- Monolithic Bidir. Bipolar GaN Switches Featuring 2 Gates / Full Controllability
- "Synergetic" Variable DC Curr. Control of Buck Stage & Inv. Stage Clamping $\rightarrow 2/3$ PWM



→ Experimental Analysis in Progress (Upcoming Publication)





Future Research

- Advanced DC/AC Topologies incl. CM-Filtering
 Extension of 2/3-PWM to Bipolar DC-Link Voltage 3-Φ AC/AC Converter
 Multi-Objective Design & Comparative Evaluation



• Partial Use of "Normally-On" Switches for Freewheeling in Case of Auxiliary Power Loss





Further Concepts

Integrated Modular Motor Drive ———







Integrated Modular Motor Drive

- Machine/Inverter Fault-Tolerant VSD
- Motor Integr. Low-Voltage Inverter Modules
- Very-High Power Density / Efficiency
 Supply of 3-Φ Winding Sets / Low C Buffer Cap.





→ Evaluate Machine Concept (PMSM vs. SRM etc.) / Wdg Topologies / Filter Requ. / etc.





3-Ф PFC Rectifier System

Introduction Buck+Boost Topologies





Source: Porsche Mission-E Project





High-Power EV Battery Charging

- **China** EV Charging Equipment Supplier Qualification Standard
- Extremely Wide DC Output Voltage Range



→ Buck-Boost Functionality & Isolation Requirement



► 3-Φ Diode Bridge Rectifier

- Conduction States Defined by *Line-to-Line* Mains Voltages Intervals with *Zero Current* / LF Harmonics No Output Voltage Control





→ Active Mains Current Shaping / Modulation of Diode Bridge Input Voltages





► 3-Φ Sinusoidal Input Current Rectifier

- Mains Current Impressed by Difference of Mains & Diode Bridge Input Voltage
- Pulse-Width Modulation of Bridge Legs for Avg. Sinusoidal Voltage Generation





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► 2-Level vs. 3-Level Voltage Generation (1)

- **Comparison to Standard** *2-Level* **PWM Rectifier &** *3-Level VIENNA Rectifier*
- 9 vs. 5 Volt. Levels & Factor 2...3 Lower Sw. Losses \rightarrow Factor 4...6 (!) Lower L



Standard PWM Rectifier

VIENNA Rectifier





2-Level vs. 3-Level Voltage Generation (2)

Comparison to Standard 2-Level **PWM Rectifier**

9 vs. 5 Volt. Levels & Factor 2...3 Lower Sw. Losses \rightarrow 12 kW/dm³ vs. 8 kW/dm³ @ 22kW



VIENNA Rectifier





Selected EV Charger Topology

- **Isolated Controlled Output Voltage**
- Buck-Boost Functionality & Sinusoidal Input Current Applicability of 600V GaN Semiconductor Technology
- High Power Density / Low Costs



→ Conventional / Independent OR "Synergetic Control" of Input & Output Stage







600

 \rightarrow Control Capability & Control DOFs NOT Fully Utilized (!)





Sector I

► 3-Φ Unfolder Rectifier Stage

- 100Hz/120Hz Operation of Power Switches
- Only Conduction Losses of AC/DC Stage
- All Current & Voltage Control by DC/DC Stage 600V Semiconductors CANNOT Be Used (!)



600 Voltage (V) 300 $u_{\rm b}$ $u_{\rm c}$ $u_{\mathbf{a}}$ 0 -300 -600 Current (A) 60 30 $\imath_{\rm b}$ $^{\prime}c$ 0 $\overline{a}u_{\mathrm{xz}}$ u_{xy} $u_{\rm vz}$ 0 15 $\dot{P}_{\rm Myz}$ $\dot{P}_{\rm Mxy}$ 1050

0

60

120

180

 ωt (°)

240

Sector I

 \rightarrow Remark: Inductors Could be Omitted (!) \rightarrow No Boost Capability



300

360

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Only Phase with Lowest Current Switched



600

→ Boost Capability Maintained (Transition to 3/3-PWM)



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Sector I

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Conventional vs. "Synergetic" Control

• 1/3-Modulation \rightarrow Significant Red. of Losses of the Power Switches Comp. to 3/3-PWM



 \rightarrow Operating Point Dependent Selection of 1/3-PWM OR 3/3-PWM for Min. Overall Losses















"Synergetic" Control Structure

- Cascaded Control of Output & Input Current (Direct & Through DC-Link Voltage)
- Active Equal DC-Link Voltage Splitting



→ Same Control Structure for 3/3-PWM (Full-Boost Mode) Using Diff. Ref. Values





AC/DC-Stage Transition to Full-Boost Operation



→ Intermediate 2/3-Operation for Limiting DC-Link Center Point Current (Low DC-Cap.)




Isolated Single-Stage

Matrix-Type Rectifier D3AB-Rectifier







Isolated Matrix-Type PFC Rectifier (1)



- Based on Dual Active Bridge (DAB) Concept Opt. Modulation $(t_1...t_4)$ for Min. Transformer RMS Curr. & ZVS or ZCS Allows Buck-Boost Operation







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Isolated Matrix-Type PFC Rectifier (2)





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Isolated —— Dual 3-Ф Active Bridge —— Rectifier





- HF-Components of Boost Ind. Voltages Utilized for Power Transfer
- Dual Active Bridge Concept
- ZVS

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Three-Port System - AC Input / Isol. DC Output / Non-Isol. DC Output



► Dual 3-Φ Active Bridge PFC Rectifier (2)

- HF-Components of Boost Ind. Voltages Utilized for Power Transfer
- Dual Active Bridge Concept
- ZVS





Three-Port System - AC Input / Isol. DC Output / Non-Isol. DC Output













Conclusions

- Future Need for "SWISS Knife"-Type Systems
- Wide Input / Output Voltage Range
- Continuous / Sinusoidal Output Voltage
- Electromagnetically "Quiet" No Shielded Cables
- On-Line Monitoring / Industry 4.0
- "Plug & Play" / Non-Expert Installation
- SMART Motors
- Enabling Technologies
- SiC / GaN
- Adv. (Multi-Level) Topologies incl. PFC Rectifier
- "Synergetic" Control
- Monolithic Bidirectional GaN
- Intelligent Power Modules
- Integration of Switch / Gate Drive / Sensing / Monitoring
- Adv. Modeling / Simulation / Optimization
- System Level → Integration of Storage, Distributed DC Bus Systems, etc.



Source: JK Outdoor Store

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Thank You !





