

Deliverable Number 9:

Project Review 1 (Project Periodic Report)



PROJECT PERIODIC REPORT

Grant Agreement number: 212237

Project acronym: ESDINDS

Project title: The Development of Indicators and Assessment Tools for CSO Projects Promoting Values-based Education for Sustainable Development

Funding Scheme: FP7-ENV-2007-1

Date of latest version of Annex I against which the assessment will be made: 05/11/2008

Periodic report: 1st ☒ 2nd ☐ 3rd ☐ 4th ☐

Period covered: from 20/01/2009 to 19/01/2010

Name, title and organisation of the scientific representative of the project's coordinator¹:

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¹ Usually the contact person of the coordinator as specified in Art. 8.1. of the grant agreement

² The home page of the website should contain the generic European flag and the FP7 logo which are available in electronic format at the Europa website (logo of the European flag: http://europa.eu/abc/symbols/emblem/index_en.htm ; logo of the 7th FP: http://ec.europa.eu/research/fp7/index_en.cfm?pg=logos). The area of activity of the project should also be mentioned.

Declaration by the scientific representative of the project coordinator¹

I, as scientific representative of the coordinator¹ of this project and in line with the obligations as stated in Article II.2.3 of the Grant Agreement declare that:

- The attached periodic report represents an accurate description of the work carried out in this project for this reporting period;
- The project (tick as appropriate):

☒ YES has fully achieved its objectives and technical goals for the period;

☒ has achieved most of its objectives and technical goals for the period with relatively minor deviations³;

☐ has failed to achieve critical objectives and/or is not at all on schedule⁴.

- The public website is up to date, if applicable.
- To my best knowledge, the financial statements which are being submitted as part of this report are in line with the actual work carried out and are consistent with the report on the resources used for the project (section 6) and if applicable with the certificate on financial statement.
- All beneficiaries, in particular non-profit public bodies, secondary and higher education establishments, research organisations and SMEs, have declared to have verified their legal status. Any changes have been reported under section 5 (Project Management) in accordance with Article II.3.f of the Grant Agreement.

Name of scientific representative of the Coordinator¹:Professor Marie Harder.....

Date:19../ ...03...../ 2010.....

Signature of scientific representative of the Coordinator¹:

³ If either of these boxes is ticked, the report should reflect these and any remedial actions taken.

⁴ If either of these boxes is ticked, the report should reflect these and any remedial actions taken.

1. Publishable Summary

The Challenge:

Civil Society Organizations (CSO's) working on sustainable development projects need more than traditional indicators like the number of trees planted. If their project empowers a community, establishes a trusting work environment, or improves social and economic justice, *how would they know? Which of these values-based indicators are important to capture? Which values contribute to sustainable development outcomes?*

If CSOs knew the answers to these questions, they could plan their activities better, optimizing outcomes from their limited funding. But CSOs need specialized researchers to help crystallize these issues; researchers who are familiar with current academic knowledge and committed to co-developing the knowledge localized in the CSO domain. The aim: an embryonic set of values-based indicators for direct use by CSOs.



Project aim:

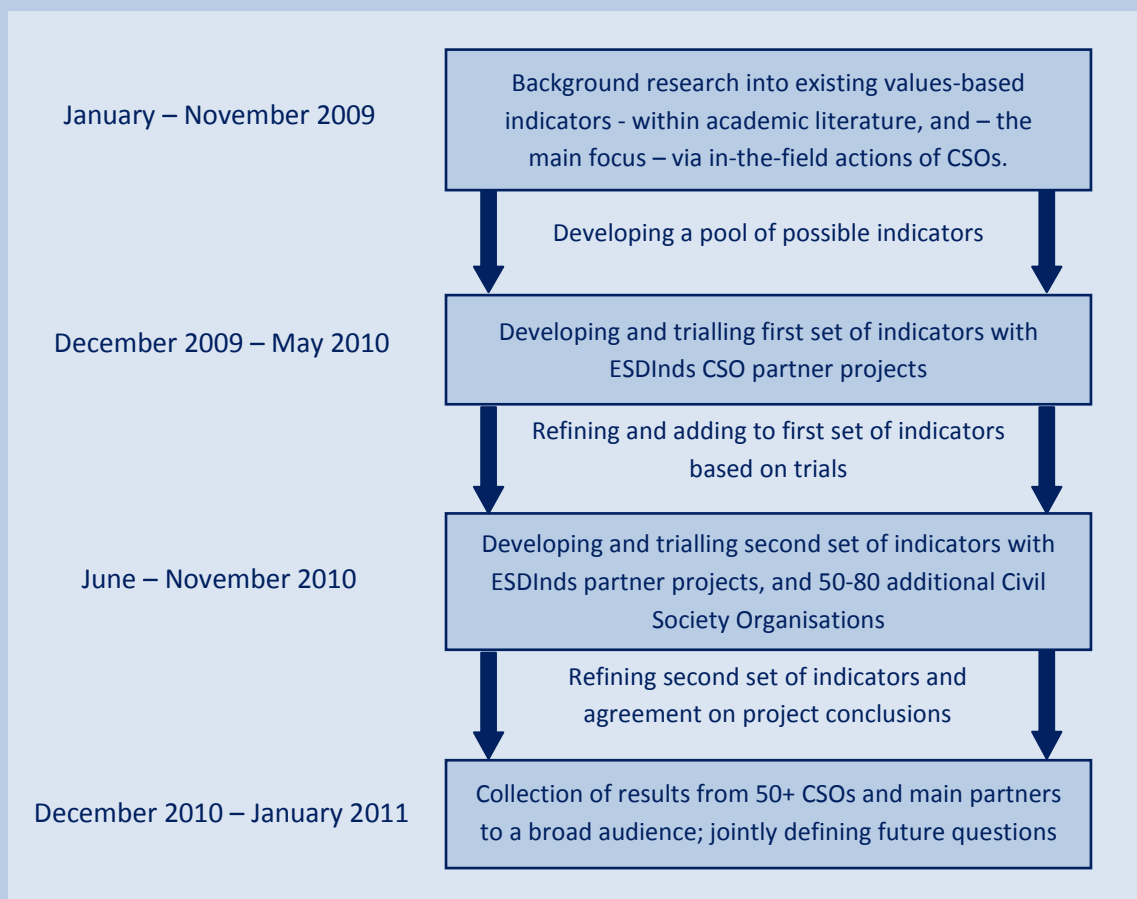
The aim of the ESDinds project is to explore useful indicators which can measure values components of CSO sustainable development projects. These values-based indicators will be designed for use at the project level, and will overlap heavily with organisational values and those of the communities served.

If useful indicators can be identified and co-developed with academic researchers, then it will be possible to develop toolkits and processes useful to diverse groups of CSOs, and a further aim of ESDinds is to involve a further 50+ CSOs with these, to form a new Community of Interest.

Work Plan

The ESDinds project is characterised by CSOs and academia working in partnership to produce practical and useful indicators. The CSO partners involved in the ESDinds project engage with many sectors of the community –education, businesses, faith groups, and community development organisations. This project has intentionally brought together a diverse group of CSOs with a shared interest in values and ethics in order to identify indicators useful across a spectrum of institutional, social and cultural diversity.

The research design involves an iterative, participatory approach to the development of indicators and assessment tools. The research will be conducted in four phases:



Expected Final Results

The primary expected outcome of the ESDinds initiative is the development of a framework of values-based indicators, applicable in a wide range of national, cultural and organizational contexts. However, we think there will be several other results which we explain below.

Outcomes after one year

In the first year of the project, researchers worked together with CSO partners to develop a 'pool' of relevant values based indicators. The approach to developing the indicators involved case studies and interviews with CSO partners and their projects, and a review of academic literature relating to specific values. A 'pool' of values of most relevance to the CSOs were identified and possible indicators, reflecting how these values might be expressed, were developed.

What is exciting is that, although the partner CSOs work in very different areas including business, education for sustainable development, education, and faith groups, it has been possible to identify several value 'clusters' that were important to all of them. Of these, six were selected for further detailed investigation in the field with affiliated indicators to be considered; these are:

- Empowerment
- Justice
- Integrity
- Trust
- Unity in Diversity
- Care & respect



These indicators are now being trialled and refined by the CSO and academic partners through field-based research with specific CSO projects. . Proposed indicators affiliated with these values are now be considered in diverse types of CSO projects in Germany, Italy, Sierra Leone and Mexico.

Unexpected Results

An unexpected result is that the **process** co-developed to help crystallise the CSOs' own values has proven useful and attractive to the CSOs – and to businesses. It seems likely that a simple tool will emerge that CSOs or businesses can use – possibly even be available for self-use online.

The 'ESDinds Process' has also been adopted by participating organisations to change their 'mission statement' to reflect what they have learned about themselves.

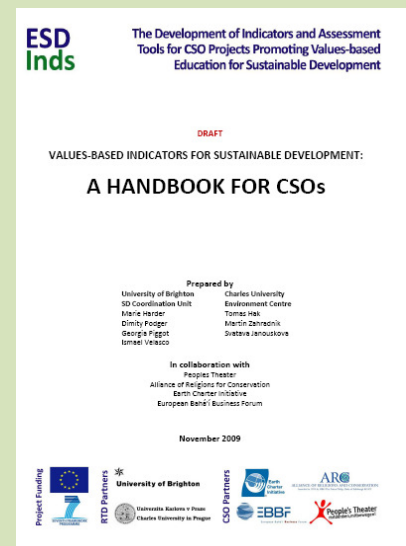
Early and Unscheduled Outcomes

An early outcome of the project has been the drafting of a Handbook for potential use by future CSOs in the field, going through ways to develop and improve indicators. Although this outcome was not scheduled, it will be invaluable when completed to allow other CSOs to develop indicators on their own, in their own context.

The ESDinds project has an specific commitment to involve 50-80 further CSOs in the last phase of the work. It was decided that the best way to do this effectively was to develop a Handbook that would provide everything these CSOs needed. Even though such a Handbook would not even be used until the last phase, the ESDinds team decided to use it as an interim goal to focus their work; it was possible to have full feedback to be gathered from the four partner CSOs. This approach has proved highly useful as an opportunity to see if the academic researchers and CSOs were communicating effectively with each other; so far it has worked well.

ESDinds has attracted significant attention due to its project design and it is likely that it will contribute important lessons about research designs involving collaborative inquiry between CSOs and universities. It is unique in FP7 projects in giving final decision making to the CSOs. Prof. Harder was invited to speak about the ESDinds design at the EU Sustainable Development Conference in Brussels in May 2009:

http://ec.europa.eu/research/sd/conference/2009/index_en.cfm?pg=session§ion=ps14&sess=14



The activities needed to get this far...

This project is fundamentally about co-science – where academic researchers and CSOs develop ideas together, from inception and through every stage to final outcomes. So the activities needed to make any progress at all included a lot of careful preliminary meetings, and explorations of CSO and academic vocabularies and expectations. Furthermore, this project involves science areas which cross many discipline boundaries, including environmental science, social science, psychology and business. Thus, the academic specialists involved had to put a lot of time and patience into developing common vocabularies and understanding expectations. This element of the work was complex involving reviews of literature in more than five discipline areas.

The researchers introduced the CSOs to key academic concepts associated with indicators, and the CSOs spent considerable time introducing the researchers to the details of their organisations and projects. The CSOs then directed the researchers in preliminary work to develop a 'pool' of indicators. The researchers devised a systematic approach, mapping out 'clusters' of values found, and preparing lists of potential indicators for the CSOs to consider. At a full consortium meeting a final set of key values and associated indicators were chosen to be further trialled with CSO projects; these 'trials' are now underway.

Expected further results and impacts

By linking the ESDinds findings about the significance of ethical values in sustainability to the academic and policy literature on sustainable development, the project will contribute to a deeper conceptualization of the process of social transformation towards sustainability.

Furthermore, by linking the value-based indicators and assessment tools developed through ESDinds to the academic literature on measuring values, it may be possible to project wider social, organizational and individual impacts linked to the values we will have examined.



For more information about the ESDinds project:

Visit the ESDinds website: www.esdinds.eu

Contact the project team via skype: [eshdinds.brighton](https://www.skype.com/join/eshdinds), or email: sdecu@brighton.ac.uk

2. *Project objectives (relevant) for the period*

Objective 1: To identify existing and embryonic, sustainable development indicators (SDIs) in academic and CSO usage (at the project and national level) from literature and practice, and establish common links between them; to communicate this information to all participants to allow them to work together to develop more useful ones.

Milestone 1: Agreement on Broad Areas via a criteria framework; end of month 4.

Objective 2: To use the criteria framework on the ground to develop and then experiment with two sets of new project-level SDIs for CSOs with particular focus on values-based SDIs and a sub-project on 'traditional' environmental SDIs (which are not currently well developed at project level).

Milestone 2: Recommendations for First Set of SDIs (from ground level consideration of criteria framework; end of month 10.

Milestone 3: First Set SDIs Agreed; end of month 11.

Recommendations from previous reviews: not applicable; this is the first review.

3. *Work progress and achievements during the period*

All of the objectives relevant to this period have been met; all of the relevant Milestones achieved, and considerable work towards some future Milestones has been achieved early.

In addition, the depth of the work carried out is greater than envisioned, due to good working partnerships and the favourable exchange rate for the Pound Sterling allowing extra hours. Thus, whereas it was envisaged that this project would produce very preliminary results, it is more and more likely that the final outcomes will be well developed.

Lastly, although the emphasis in the project was on developing indicators, it is becoming evident that the process is of even more use; CSOs are finding the co-designed ESDinds



process to develop their own indicators very useful indeed, and we will be developing this further as the project continues.

Work Package 2 – Bridging the CSO-RTD gap of knowledge

- *A summary of progress towards objectives and details for each task;*

The objectives for this WP were for the CSO and RTD partners to get to know each other's work and approaches very well, and to build on that to agree on a large 'pool' of sustainable development values-based indicators which would be used to develop further, more defined work.

All of the sub-tasks needed to do this were fulfilled (i.e. the work of this WP is completed), and in fact our EU Project Contact attended one of the early meetings where the partnerships were developing, and was able to see for herself the positive manner in which it happened. It should be noted that the partnerships in ESDinds are very deep; this is not a project where different partners do different WPs in isolation. Rather, very deep interaction is needed, which needs time to develop, which is what happened in this WP.

The evidence for the achievements of these objectives is in the tangible results in the Core Group Meeting 1 (CGM1) and CGM2 minutes; a 'criteria framework' was agreed by all partners for further development.

- *Highlight clearly significant results;*

Too early for these; the objectives of this early WP were achieved, and they were significant for the rest of the project; not significant independent of the project i.e. in their own right. Except possibly for the resounding success of the project structure to assist RTDs and CSOs to develop a very deep partnership – that is noteworthy.

- *If applicable, explain the reasons for deviations from Annex I and their impact on other tasks as well as on available resources and planning;*

One deviation is that this WP proceeded with one less partner, as BASED UK withdrew from the project very early on. However, their resources were allocated for this Phase to University of Brighton for activities specified and under the direction of one of the other CSOs- ECI. There was no overall bad effect, as a substitute CSO piece of work was carried out. Luckily, the person working for BASED-UK was able to be hired by UOB for this work,



allowing a continuity of personnel (Ismael Velasco). So in the end the administration was different but the outcome as the same, in almost every respect.

- *If applicable, explain the reasons for failing to achieve critical objectives and/or not being on schedule*

Not applicable.

- *a statement on the use of resources, in particular highlighting and explaining deviations between actual and planned person-months per work package and per beneficiary in Annex 1 (Description of Work)*

The allocated person-months for this WP as predicted were fully used by the three beneficiaries involved (UoB, CUEC, DAHL). However, Dahl has not claimed yet for this period, as there are problems with the mechanisms for this. He will change legal status from an Individual to a Consultant under UoB and his claim for time submitted in the next period.

- *If applicable, propose corrective actions.*

Not applicable.

Work Package 3 – Researching the CSO’s Existing Primitive Indicators

- *A summary of progress towards objectives and details for each task;*

The objectives of this WP were to thoroughly consider CSO relevant issues and academic perspectives on them towards values-based indicators, leading to a systematic approach to proposing an actual set of indicators to the Consortium for ‘trial’ in the first set of projects. Secondly, a range of potential projects for such trials needed to be considered and evaluated, and a decision made on the relevant ones, ready for the next WP.

All of these objectives were met, as seen in the minutes of the CGM2. Before the meeting, significant written accounts of the work so far were disseminated to the partners, with proposed indicator sets and candidates projects.

Consequently, at CGM2 decisions were able to be made after consultations.



- *Highlight clearly significant results;*

A well defined set of ‘clusters’ of values was obtained from a wide range of partner types and projects; rather than a loose group of possible values, it was found that strong clusters emerged which are of significant interest to those involved. This is a finding in its own right. This higher quality result was only possible because the partners went beyond the basic requirements of the WP and carried out quite in-depth investigations of values of importance to the CSOs. These pieces of work, in different CSO areas of work, have been documented in summary already in notes disseminated to the partners before CGM2.

A second significant result is that the process itself that ESDinds has developed to assist CSOs in formulating their own indicators, has proved very simple and successful, and seems to be more important. We are thus now expanding on the possibility that the process itself should be developed as an important output.

- *If applicable, explain the reasons for deviations from Annex I and their impact on other tasks as well as on available resources and planning;*

There were no deviations other than the withdrawal of BASED UK and its range of projects; this was made up for by extra work under the direction of ECI. The financial budget for this has not been claimed yet, even though the work has been undertaken; it will be claimed in the next period. This is because the formal changes to the Grant Agreement have not been made yet.

- *If applicable, explain the reasons for failing to achieve critical objectives and/or not being on schedule*

Not applicable.

- *a statement on the use of resources, in particular highlighting and explaining deviations between actual and planned person-months per work package and per beneficiary in Annex 1 (Description of Work)*

Due to the extra in-depth studies, UOB spent more man-hours than budgeted – an increase of about 20%. However, overall their financial budget could sustain this due to the favourable exchange rate for the Pound Stirling. The person-months predicted were fully used by PT, but ARC, EBBF and ECI had difficulties finding a mechanism to pay staff in filed projects because they are not directly salaried by the formal beneficiary. The result was that the researchers from the RTDs did extra work to support those CSOs, and it is



agreed that those CSOs will put in more man hours in WP 4, 5. Thus ARC, EBBF and ECI will have under-claimed for this WP, but are expected to over-claim in the next period; overall the resources used should balance.

It should also be noted that Dahl has not claimed yet for this period, as there are problems with the mechanisms for this. He will change legal status from an Individual to a Consultant under UoB and his claim for time submitted in the next period. Similarly, the work budgeted to BASED UK has not been claimed yet, but will be by UOB in the next period. This is because the formal changes to the Grant Agreement have not been made yet.

- *If applicable, propose corrective actions.*
Not applicable.

Work Package 6 – Training between partners

- *A summary of progress towards objectives and details for each task;*
The relevant objectives for this WP are those for ESDinds Periods 1 and 2. They involve the CSO and RTD partners providing appropriate informal and more formal training to each other, at Core Group Meetings and in the context of CSO projects.
These have all been achieved, and the related Deliverables have been delivered i.e. written training materials and a document setting out findings.
The success of these objectives can be seen in summary from the overall impressions given by the minutes of the CGM2 meeting; clearly the CSO and RTD partners are working closely together and the work they are developing is in partnership; this could not be possible without effective training between partners, which is the objective of this WP.
- *Highlight clearly significant results;*
An early outcome of the project has been the drafting of a Handbook for potential use by future CSOs in the field, going through ways to develop and improve indicators. Although this outcome was not scheduled, it will be invaluable when completed to allow other CSOs to develop indicators on their own, in their own context.
The ESDinds project has an specific commitment to involve 50-80 further CSOs in the last phase of the work. It was decided that the best way to do this effectively was to develop a



Handbook that would provide everything these CSOs needed. Even though such a Handbook would not even be used until the last phase, the ESDinds team decided to use it as an interim goal to focus their work; it was possible to have full feedback to be gathered from the four partner CSOs. This approach has proved highly useful as an opportunity to see if the academic researchers and CSOs were communicating effectively with each other; so far it has worked well.

- *If applicable, explain the reasons for deviations from Annex 1 and their impact on other tasks as well as on available resources and planning;*

There were no deviations other than the withdrawal of BASED UK and its range of projects; this was made up for by extra work under the direction of ECI. The financial budget for this has not been claimed yet, even though the work has been undertaken; it will be claimed in the next period. This is because the formal changes to the Grant Agreement have not been made yet.

- *If applicable, explain the reasons for failing to achieve critical objectives and/or not being on schedule*

Not applicable.

- *a statement on the use of resources, in particular highlighting and explaining deviations between actual and planned person-months per work package and per beneficiary in Annex 1 (Description of Work)*

Due to the extra work on the drafted Handbook, UOB spent more man-hours than budgeted – an increase of about 10%. However, overall their financial budget could sustain this due to the favourable exchange rate for the Pound Sterling. The person-months predicted were fully used by the other beneficiaries involved. However, Dahl has not claimed yet for this period, as there are problems with the mechanisms for this. He will change legal status from an Individual to a Consultant under UoB and his claim for time submitted in the next period. Similarly, the work budgeted to BASED UK has not been claimed yet, but will be by UOB in the next period. This is because the formal changes to the Grant Agreement have not been made yet.

- *If applicable, propose corrective actions.*

Not applicable.



Work Package 7 - Dissemination

- *A summary of progress towards objectives and details for each task;*

The tasks for this WP are not specified for particular months of the project, and in fact less work has been done on this WP than any other. However, that is because dissemination has not been a high priority at the start of the project; already, at the end of this Period of Reporting it is becoming more due to the need to recruit a further 50-80 CSOs.

- *Highlight clearly significant results;*

We are receiving interest internationally about the project, and have already developed a mailing list of interested bodies. We have had very intense interest in particular from the International federation of Red Cross and Red Crescent, as this work relates to some they are developing, and of national government networks in Mexico who are currently developing nation-wide initiatives with overlapping concepts. We continue to liaise with both of these.

Surprising to us has been the interest in the design of the project itself; it now seems likely that it will contribute important lessons about research designs involving collaborative inquiry between CSOs and universities. It is unique in FP7 projects in giving final decision making to the CSOs. Prof. Harder was invited to speak about the ESDinds design at the EU Sustainable Development Conference in Brussels in May 2009: http://ec.europa.eu/research/sd/conference/2009/index_en.cfm?pg=session§ion=ps14&sess=14

- *If applicable, explain the reasons for deviations from Annex I and their impact on other tasks as well as on available resources and planning;*

Not applicable.

- *If applicable, explain the reasons for failing to achieve critical objectives and/or not being on schedule*

Not applicable.



- *a statement on the use of resources, in particular highlighting and explaining deviations between actual and planned person-months per work package and per beneficiary in Annex 1 (Description of Work)*

The resource use was not very specific on a month-by-month basis for this WP, so there are no issues to report.

- *If applicable, propose corrective actions.*

Not applicable.



4. Deliverables and Milestones Tables

TABLE 1. DELIVERABLES⁵

Del. no.	Deliverable name	WP no.	Lead beneficiary	Nature	Dissemination level	Delivery date from Annex I (proj month)	Delivered Yes/No	Actual / Forecast delivery date	Comments
1	Final Plan for the Use and Dissemination of the Foreground	1	UoB	R A definitive document of the agreement	PU	End of Month 2	Yes	11/05/2009	This document contains an extract from the Consortium Agreement (CA). Signed copies of the CA from all beneficiaries were not received at UoB until 05/05/2009, which resulted in the delay of this Delivery.
2	Written training materials	6	CUEC	O Written training material to come to CGM1	PU	End of Month 2	Yes	20/03/2009	
3	Web site up and running	7	UoB	O Public website	PU	End of Month 2	Yes	20/03/2009	http://www.esdinds.eu
4	CGM1 Minutes	1	UoB	O Minutes; posted on website	PU	End of Month 4	Yes	20/05/2009	

⁵ For Security Projects the template for the deliverables list in Annex A1 has to be used.

TABLE 1. DELIVERABLES (CONTINUED)									
Del. no.	Deliverable name	WP no.	Lead beneficiary	Nature	Dissemination level	Delivery date from Annex I (proj month)	Delivered Yes/No	Actual / Forecast delivery date	Comments
5	Summary of Proposed Projects	3	CUEC	R Cumulative report circulated to all partner	PU	End of Month 10	Yes	19/11/2009	
6	Report on Links between Academic and CSO SDIs	6	CUEC	R A written report for all partner	PU	End of Month 10	Yes	19/11/2009	
7	CGM2 Minutes	3	UoB	O Minutes: posted on website	PU	End of Month 11	Yes	16/12/2009	
8	First Year Activity Report	1	UoB	R	PU	End of Month 12	No	19/03/2010	The First Year Activity report has been incorporated into this report, i.e. Deliverable 9: Project Review 1, as it contains the same information
9	Project Review 1	1	UoB	R	PU	End of Month 12	No	19/03/2010	This report comprises Deliverable 9, i.e. the first periodic report and is actually due at the end of Month 14, not Month 12 as wrongly indicated in the DoW.

TABLE 2. MILESTONES							
Milestone no.	Milestone name	Work package no.	Lead beneficiary	Delivery date from Annex I (proj month)	Achieved Yes/No	Actual / Forecast achievement date	Comments
1	Agreement on Broad Areas	3	UoB	End of Month 4			A formal record of the agreed way forward was recorded in the minutes of CGM1. The range and types of SDIs to be considered was not decided upon, instead it was decided that the RTDs would complete a review of indicators of all types for circulation to the CSOs. The CSOs identified specific areas of interest in the research.
2	Recommendations for First Set of SDIs	3	UoB	End of Month 10			A document containing recommended SDIs was circulated to the CSOs in advance of CGM2.
3	First Set SDIs Agreed	3	UoB	End of Month 11			The first set of SDIs was agreed at CGM2 and is documented in the minutes.
6	Accessible dissemination	7	UoB	End of Month 12			The ESDinds website contains information on the project, including links to publications, brochures, minutes to CGMs and a resource library.

5. Project Management

This section summarises management of the consortium activities during the period.

- *Consortium management tasks and achievements*

All have been achieved; partners are working well together in an effective manner, as evidenced by outputs, deliverables and minutes from the Core group meetings. Not despite several problems, as listed below.

- *Problems which have occurred and how they were solved or envisaged solutions*

The first type of problem that has occurred is the withdrawal of BASED-UK, which is detailed below along with its solution. Luckily, the DOW had plans which could accommodate such a problem, not even requiring an immediate formal change in the Grant Agreement (although that will be done).

The second type of problem has been the difficulty of the CSOs in passing funds down to project staff in the field. As long as those staff have salaries paid for by the mother CSO, all is fine; but in many cases this is not the case, and the EU regulations would require the registering of separate legal entities. This has caused several of the CSOs to carry out ESDinds work with their own staff from central offices to some extent, or to rely on unpaid contributions of time from the actors in the field projects. Thus, the spending of ECI, ARC and EBBF is under target regarding man hours. However, on the other hand, each of these has required more travel funds, since their central staff now have to travel to the projects, and so travel claims are higher than budgeted. These changes will be reflected in changes in the new budget proposed with the other changes needed in the Grant Agreement.

The third type of problem that has occurred is that two of the three staff hired for the project by UOB have gone on maternity leave! However, the favourable exchange rate has assisted in minimising the financial difficulties this could have caused. In one case a part-time member of staff already on the project was able to cover part of the maternity leave, allowing considerable continuity.

A fourth type of problem has been the difficulties in developing a common vocabulary and harmonious partition of work amongst the academic researchers. This was not an expected problem, but the built-in design of having a mediator in the project – Arthur Dahl



– worked very well to ease any difficulties that could have developed. The problem originates in the fact that ESDinds is not designed to be carried out separately by partners in different centres – both RTDs must work as one with the CSOs. It is then compounded by the fact that the project is so multi-disciplinary that it spans literature in psychology, business, education and social sciences, and all the researchers had to spend time developing common vocabularies and ways forward. This was overcome by lots of patience, and lots of extra meetings between researchers, which means also that the travel budget will be used up more quickly. However, this is not expected to be a financial problem overall; just that some transfers in budget headings may need to be made before the end of the project. However, this may impact on the choice of CSO projects studied in future WPs; if the travel budgets are low then this may rule out projects far away or in expensive countries.

- *Changes in the consortium, if any*

The partner BASED UK formally withdrew from the project in May 2009, due to its Core Mission being changed significantly from that when it initially joined the ESDinds bid two years earlier. Such a potential eventuality was planned for in the DOW, and following the procedure indicated therein for consultation and a final decision from ESDinds' mediator, Arthur Dahl, it was decided that the partner Earth Charter Initiative (ECI) should take responsibility to direct the work that would have been carried out by BASED UK in WP3, but having the University of Brighton take over the staffing necessary to deliver. The decision to possibly invite a replacement CSO was discussed within the ESDinds consortium; the International Federation of Red Cross and Red Crescent will be welcome subject to legal status being affirmed. In the meantime the University of Brighton has been asked to take over responsibilities of BASED UK in ongoing work.

Another change occurred that resulted in Arthur Dahl having his status changed (in order to provide a mechanism to be paid) from a legal Individual Beneficiary to a Consultant under contract to the University of Brighton. This change was discussed and agreed by the consortium, as provided for in the DOW.

Changes to the GA were later formally proposed and submitted to the EU in March 2010.

- *List of project meetings, dates and venues*

The first Core Group Meeting was held in Prague, Czech Republic, April 6-7th 2009, at University of Prague buildings.

The second Core Group Meeting was held in Brighton, UK, November 4-7th at University of Brighton buildings.



The research teams from Brighton and Prague have met several times (as well as by Skype many times!) in order to fully integrate their planning and evaluation work with the various CSOs.

- *Project planning and status*

Overall the project is on schedule (or a little ahead), on budget and going well.

- *Impact of possible deviations from the planned milestones and deliverables, if any*

None. The increased depth of the preliminary work done on the “pool” of indicators in WP2 has meant that all subsequent work could be carried out in more depth than expected. The favourable exchange rate from Euros to Sterling has meant that UOB has been able to build firmly on this early extra work to then do extra depth on the next level – development of the values clusters and first set of indicators. In other words, the project is achieving deeper and earlier results than expected, which are currently causing it to accelerate in its final potential achievements. Thus, at the moment we are expecting extra outputs such as the Handbook mentioned above, and layers of information associated with the indicators, such as assessment tools. But it is early days yet to promise these!

- *Any changes to the legal status of any of the beneficiaries, in particular non-profit public bodies, secondary and higher education establishments, research organisations and SMEs*

None (except the change of Arthur Dahl, as mentioned above, from a legal Individual Beneficiary to a Consultant under contract to the University of Brighton.)

- *Development of the Project website, if applicable*

A simple project website has been set up at www.esdinds.eu. It will become more important in the next phases, when results are available and it is necessary to post information to the increasing number of interested parties. A separate website has been set up to allow sharing of documents between the ESDinds partners while working.

- *Use of foreground and dissemination activities during this period (if applicable)*

An informal academic paper outlining the ESDinds project thus far has been submitted for consideration by the Editors of the Journal of Education for Sustainable Development for the special edition for the Earth Charter. It is entitled, “The Earth Charter and the ESDinds



initiative: Developing indicators and assessment tools for Civil Society Organisations to examine the values dimensions of sustainability projects”.

The design of the project, which strictly speaking is background IP, has been the subject of some external interest and Prof Harder was invited to speak about the ESDinds design at the EU Sustainable Development Conference in Brussels in May 2009: http://ec.europa.eu/research/sd/conference/2009/index_en.cfm?pg=session§ion=ps14&sess=14. She hopes to write the information up formally for academic journals.

This interest in the design of ESDinds indicates that foreground work on how successful the design actually works in practice, will also be of interest, and we hope to find time to document that in this project.



6. Explanation of the use of the resources

A breakdown and explanation of personnel costs, subcontracting and major direct costs incurred by each beneficiary is detailed below.

TABLE 3.1: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 1 (UoB) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)			
Work Package	Item description	Amount (Euros)	Explanations
1, 2, 3, 6, 7	Personnel costs	108436	Salaries of Marie Harder, Dimity Podger, Georgia Piggot, Julie Carter, Ismael Valesco and Elona Hoover.
1, 3	Travel costs	2804	Flights and other transport and subsistence costs for travel to CGMs, project meetings and projects.
1, 3	Accommodation costs	3088	Accommodation costs for attendance at CGMs, project meetings and projects.
	Remaining direct costs	691	Purchase of Atlas Ti software
TOTAL DIRECT COSTS ⁶		115019	

TABLE 3.2: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 2 (ECI) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)			
Work Package	Item description	Amount (Euros)	Explanations
1, 2, 3, 6, 7	Personnel costs	5912	Salary of Alicia Jimenez
1, 3	Travel costs	2940	Flights and other transport and subsistence costs for travel to CGMs and projects.
1, 3	Accommodation costs	681	Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		9533	

⁶ Total direct costs have to be coherent with the direct costs claimed in Form C



TABLE 3.3: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 3 (EBBF) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
3, 6, 7	Personnel costs	6275	Salary of Daniel Truran
6	Travel costs	1524	Flights and other transport and subsistence costs for travel to CGMs and projects.
6	Accommodation costs	1152	Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		8951	

TABLE 3.4: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 4 (ARC) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
3, 6, 7	Personnel costs	6240	Salaries of John Smith and Kevin Vicker
6	Travel costs and accommodation costs	1620	Flights and other transport and subsistence costs for travel to CGMs and projects. Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		7860	

TABLE 3.5: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 5 (BASED UK) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
3, 6, 7	Personnel costs	0	Salaries
6	Travel costs	0	Flights and other transport and subsistence costs for travel to CGMs and projects.
6	Accommodation costs	0	Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		0	



TABLE 3.6: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 6 (PT) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
3, 6, 7	Personnel costs	11247	Salaries of Curtis Volk, Peggy Habermann and Berkan Manaigo-Vekil
6	Travel costs	500	Flights and other transport and subsistence costs for travel to CGMs and projects.
6	Accommodation costs	384	Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		12131	

TABLE 3.7: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 7 (DAHL) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
2, 3, 6, 7	Personnel costs	0	Salary of Arthur Dahl
6	Travel costs	0	Flights and other transport and subsistence costs for travel to CGMs and projects.
6	Accommodation costs	0	Accommodation costs for attendance at CGMs and projects.
	Remaining direct costs	0	
TOTAL DIRECT COSTS		0	

TABLE 3.8: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 8 (CUEC) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

Work Package	Item description	Amount (Euros)	Explanations
1, 2, 3, 6, 7	Personnel costs	55944	Salaries of Tomas Hak, Svatava Janouskova and Martin Zahradnik.
1, 3	Travel costs	2126	Flights and other transport and subsistence costs for travel to CGMs and projects.
1, 3	Accommodation costs	876	Accommodation costs for attendance at CGMs and projects.
4	Remaining direct costs	527	Translation of questionnaire



TABLE 3.8: PERSONNEL, SUBCONTRACTING AND OTHER MAJOR DIRECT COST ITEMS FOR BENEFICIARY 8 (CUEC) FOR THE FIRST PERIOD (20/01/2009-19/02/2010)

1	Remaining direct costs	5 047	Other management costs associated with: 1. coordination meeting, Brighton, UK, February 2009 (The intellectual challenge of indicators of the intangible, preparing for CGM1); 2. CGM1, Prague, CZ, April, 2009 (Presentation and discussion around concept of values evaluation of CSO project impacts and indicators); and 3. CGM2, Brighton, UK, November 2009 (Decisions on set of values and indicators; discussion of the next phase and selection of testing projects)
TOTAL DIRECT COSTS		64520	



7. Financial Statements – Form C and Summary Financial Report

7.1 University of Brighton Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	18/01/2010	Participant Type	RTD Performer
Legal Name	UNIVERSITY OF BRIGHTON	Participant Identity Code	999860481
Organisation short Name	UoB	Beneficiary nr.	1
Funding % for RTD activities (A)	75.00	If flat rate for indirect costs, specify %	60.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	81,150.00	0.00	25,465.00	1,821.00	108,436.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	4,101.00	0.00	2,482.00	0.00	6,583.00
Indirect costs	51,150.60	0.00	16,768.20	1,092.60	69,011.40
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	136,401.60	0.00	44,715.20	2,913.60	184,030.40
Maximum EC contribution	102,301.20	0.00	44,715.20	2,913.60	149,930.00
Requested EC contribution					149,930.00

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?
If yes, please mention the amount (in €)

No

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?
If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
---------------------	--	--------------------------------	--

6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement	
	Stuart Laing	
	Date & signature	
	08/03/2010	



7.2 Earth Charter Initiative Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	RTD Performer
Legal Name	Earth Charter Initiative	Participant Identity Code	997942500
Organisation short Name	ECI/UfP	Beneficiary nr.	2
Funding % for RTD activities (A)	75.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	2,956.10	0.00	0.00	2,956.10	5,912.20
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	3,621.40	3,621.40
Indirect costs	591.22	0.00	0.00	1,315.50	1,906.72
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	3,547.32	0.00	0.00	7,893.00	11,440.32
Maximum EC contribution	2,660.49	0.00	0.00	7,893.00	10,553.49
Requested EC contribution					10,553.49

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?

If yes, please mention the amount (in €)

No

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?

If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
---------------------	--	--------------------------------	--

6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Mirian Vilela
	Date & signature
	17/02/2010



7.3 European Baha'i Business Forum Association Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	Specific group: Civil Society Organisation
Legal Name	EUROPEAN BAHAI BUSINESS FORUM ASSOCIATION	Participant Identity Code	998180247
Organisation short Name	EBBF	Beneficiary nr.	3
Funding % for RTD activities (A)	50.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	2,000.00	0.00	0.00	4,275.00	6,275.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	2,676.41	2,676.41
Indirect costs	400.00	0.00	0.00	1,390.28	1,790.28
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	2,400.00	0.00	0.00	8,341.69	10,741.69
Maximum EC contribution	1,200.00	0.00	0.00	8,341.69	9,541.69
Requested EC contribution					9,541.69

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?
If yes, please mention the amount (in €)

No

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?
If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
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6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Daniel Truran
	Date & signature
	01/03/2010



7.4 Alliance of Religions and Conservation Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	Specific group: Civil Society Organisation
Legal Name	Alliance of Religions and Conservation	Participant Identity Code	997835218
Organisation short Name	ARC	Beneficiary nr.	4
Funding % for RTD activities (A)	50.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	0.00	0.00	0.00	6,240.00	6,240.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	1,620.00	1,620.00
Indirect costs	0.00	0.00	0.00	1,572.00	1,572.00
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	0.00	0.00	0.00	9,432.00	9,432.00
Maximum EC contribution	0.00	0.00	0.00	9,432.00	9,432.00
Requested EC contribution					9,432.00

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?
If yes, please mention the amount (in €)

No

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?
If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
---------------------	--	---	--

5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
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6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Martin Palmer
	Date & signature 12/02/2010



7.5 Baha'i Agency for Social and Economic Development – UK Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	Specific group: Civil Society Organisation
Legal Name	BAHA'I AGENCY FOR SOCIAL AND ECONOMIC DEVELOPMENT - UNITED KINGDOM	Participant Identity Code	998248438
Organisation short Name	BASEDUK	Beneficiary nr.	5
Funding % for RTD activities (A)	50.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	0.00	0.00	0.00	0.00	0.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	0.00	0.00
Indirect costs	0.00	0.00	0.00	0.00	0.00
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	0.00	0.00	0.00	0.00	0.00
Maximum EC contribution	0.00	0.00	0.00	0.00	0.00
Requested EC contribution					0.00

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?
If yes, please mention the amount (in €)

No

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?
If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
---------------------	--	--------------------------------	--

6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Geeta Kingdon
	Date & signature
	22/02/2010



7.6 People's Theater Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	Specific group: Civil Society Organisation
Legal Name	People's Theater e.V.	Participant Identity Code	998159586
Organisation short Name	PT	Beneficiary nr.	6
Funding % for RTD activities (A)	50.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	5,701.88	0.00	0.00	5,545.33	11,247.19
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	884.63	884.63
Indirect costs	1,140.37	0.00	0.00	1,285.99	2,426.36
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	6,842.23	0.00	0.00	7,715.95	14,558.18
Maximum EC contribution	3,421.12	0.00	0.00	7,715.95	11,137.07
Requested EC contribution					11,137.07

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?

No

If yes, please mention the amount (in €)

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?

No

If yes, please mention the amount (in €)

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
---------------------	--	---	--

5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
---------------------	--	--------------------------------	--

6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Curtis Volk
	Date & signature
	03/03/2010



7.7 Arthur Lyon Dahl Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	Other participant
Legal Name	Arthur Lyon DAHL	Participant Identity Code	998180150
Organisation short Name	DAHL	Beneficiary nr.	7
Funding % for RTD activities (A)	50.00	If flat rate for indirect costs, specify %	20.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	0.00	0.00	0.00	0.00	0.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	0.00	0.00	0.00	0.00	0.00
Indirect costs	0.00	0.00	0.00	0.00	0.00
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	0.00	0.00	0.00	0.00	0.00
Maximum EC contribution	0.00	0.00	0.00	0.00	0.00
Requested EC contribution					0.00

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?

No

If yes, please mention the amount (in €)

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?

No

If yes, please mention the amount (in €)

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
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6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Arthur Lyon Dahl
	Date & signature
	17/02/2010



7.8 Charles University Environment Center Financial Statement

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Form C - Financial Statement (to be filled in by each beneficiary)			
Project nr.	212237	Funding scheme	Research for the benefit of specific groups
Project Acronym	ESDinds		
Period from	20/01/2009	Is this an adjustment to a previous statement?	No
To	19/01/2010	Participant Type	RTD Performer
Legal Name	UNIVERZITA KARLOVA V PRAZE	Participant Identity Code	999923434
Organisation short Name	CUEC	Beneficiary nr.	8
Funding % for RTD activities (A)	75.00	If flat rate for indirect costs, specify %	60.00

1. Declaration of eligible costs/lump sum/flat rate/scale of unit (in €)

	Type of Activity				Total (A+B+C+D)
	RTD (A)	Demonstration (B)	Management (C)	Other (D)	
Personnel costs	48,764.00	0.00	3,234.00	3,946.00	55,944.00
Subcontracting	0.00	0.00	0.00	0.00	0.00
Other direct costs	3,529.00	0.00	5,047.00	0.00	8,576.00
Indirect costs	31,375.80	0.00	4,968.60	2,367.60	38,712.00
Lump sums/flat rate/scale of unit declared	0.00	0.00	0.00	0.00	0.00
Total	83,668.80	0.00	13,249.60	6,313.60	103,232.00
Maximum EC contribution	62,751.60	0.00	13,249.60	6,313.60	82,314.80
Requested EC contribution					82,314.80

2. Declaration of receipts

Did you receive any financial transfers or contributions in kind, free of charge from third parties or did the project generate any income which could be considered a receipt according to Art.II.17 of the grant agreement?
If yes, please mention the amount (in €)

Yes

26,571.00

3. Declaration of interest yielded by the pre-financing (to be completed only by the coordinator)

Did the pre-financing you received generate any interest according to Art.II.19?
If yes, please mention the amount (in €)

No

4. Certificate on the methodology

Do you declare average personnel costs according to Art.II.14.1?

No

Is there a certificate on the methodology provided by an independent auditor and accepted by the Commission according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €), if charged under this project	
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5. Certificate on the financial statements

Is there a certificate on the financial statements provided by an independent auditor attached to this financial statement according to Art.II.4.4?

No

Name of the auditor		Cost of the certificate (in €)	
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6. Beneficiary's declaration on their honour

We declare on our honour that:

- the costs declared above are directly related to the resources used to attain the objectives of the project and fall within the definition of eligible costs specified in Articles II.14 and II.15 of the grant agreement, and, if relevant, Annex III and article 7 (special clauses) of the grant agreement;
- the receipts declared above are the only financial transfers or contributions in kind, free of charge, from third parties and the only income generated by the project which could be considered as receipts according to Art.II.17 of the grant agreement;
- the interest declared above is the only interest yielded by the pre-financing which falls within the definition of Art.II.19 of the grant agreement;
- there is full supporting documentation to justify the information hereby declared. It will be made available at the request of the Commission and in the event of an audit by the Commission and/or by the Court of Auditors and/or their authorised representatives.

Beneficiary's Stamp	Name of the Person(s) Authorised to sign this Financial Statement
	Prof. Vaclav Hampl, rector
	Date & signature
	12/02/2010



7.9 Summary Financial Report

FP7 - Grant Agreement - Annex VI - Research for the benefit of specific groups

Summary Financial Report - Research for the benefit of specific groups																			
Project acronym		ESDinds		Project nr.		212237		Reporting period from		20/01/2009		to		18/01/2010		Page		1/1	
Funding scheme		BSG		Type of activity															
Beneficiary nr.	If 3rd Party, linked to beneficiary	Adjustment (Yes/No)	Organization Short Name	RTD (A)		Demonstration (B)		Management (C)		Other (D)		Total (A)+(B)+(C)+(D)							
				Total	Max EC Contribution	Total	Max EC Contribution	Total	Max EC Contribution	Total	Max EC Contribution	Total	Max EC Contribution	Total	Max EC Contribution	Receipts	Interest		
1		No	UoB	136,401.60	102,301.20	0.00	0.00	44,715.20	44,715.20	2,913.60	2,913.60	2,913.60	2,913.60	0.00	0.00	184,030.40	149,930.00	0.00	0.00
2		No	ECIUIP	3,547.32	2,660.49	0.00	0.00	0.00	0.00	7,893.00	7,893.00	7,893.00	7,893.00	0.00	0.00	11,440.32	10,563.49	0.00	0.00
3		No	EBBF	2,400.00	1,200.00	0.00	0.00	0.00	0.00	8,341.69	8,341.69	8,341.69	8,341.69	0.00	0.00	10,741.69	9,541.69	0.00	0.00
4		No	ARC	0.00	0.00	0.00	0.00	0.00	0.00	9,432.00	9,432.00	9,432.00	9,432.00	0.00	0.00	9,432.00	9,432.00	0.00	0.00
5		No	BASEDUK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6		No	PT	6,842.23	3,421.12	0.00	0.00	0.00	0.00	7,715.95	7,715.95	7,715.95	7,715.95	0.00	0.00	14,558.18	11,137.07	0.00	0.00
7		No	DAHL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8		No	CUEC	83,668.80	62,751.60	0.00	0.00	13,249.60	13,249.60	6,313.60	6,313.60	6,313.60	6,313.60	0.00	0.00	103,232.00	82,314.80	26,571.00	0.00
TOTAL				232,859.95	172,334.41	0.00	0.00	57,964.80	57,964.80	42,609.84	42,609.84	42,609.84	42,609.84	0.00	0.00	333,434.59	272,909.05	26,571.00	0.00
Requested EC contribution for the reporting period (in €)																272,909.05			



8. Certificates

A list of Certificates which are due for this period, in accordance with Article II.4.4 of the Grant Agreement, are detailed below.

BENEFICIARY	ORGANISATION SHORT NAME	CERTIFICATE ON THE FINANCIAL STATEMENTS PROVIDED?	ANY USEFUL COMMENT, IN PARTICULAR IF A CERTIFICATE IS NOT PROVIDED
1	UoB	No	Expenditure threshold not reached
2	ECI	No	Expenditure threshold not reached
3	EBBF	No	Expenditure threshold not reached
4	ARC	No	Expenditure threshold not reached
6	PT	No	Expenditure threshold not reached
7	DAHL	No	Expenditure threshold not reached
8	CUEC	No	Expenditure threshold not reached

